

# QUALIFYING EXAMINATION

HARVARD UNIVERSITY

Department of Mathematics

Tuesday January 20, 2026 (Day 1)

**1. (Algebra)** In this question,  $\mathfrak{g}$  is a non-zero, finite-dimensional Lie algebra over  $\mathbb{C}$ .

- (a) Define the Killing form on  $\mathfrak{g}$ .
- (b) Characterize semisimplicity for  $\mathfrak{g}$  in terms of the Killing form.
- (c) The Lie algebra  $\mathfrak{g}$  is *nilpotent* if its lower central series terminates at  $\{0\}$ . Show that the Killing form of a nilpotent Lie algebra is zero.
- (d) Exhibit a 2-dimensional  $\mathfrak{g}$  that is neither semisimple nor nilpotent.

**Solution.**

- (a) The Killing form is the symmetric bilinear form  $(a, b) = \text{tr}(\text{ad}(a)\text{ad}(b))$ .
- (b) The Lie algebra is semisimple if and only if the Killing form is non-degenerate.
- (c) In a nilpotent Lie algebra, the subalgebra  $[\mathfrak{g}, [\mathfrak{g}, \dots [\mathfrak{g}, \mathfrak{g}] \dots]]$  is eventually zero. In particular  $[x, [y, [x, [y, \dots, [x, [y, z]] \dots]]]$  is zero for all  $x$  and  $y$  and  $z$  eventually; which is to say that  $(\text{ad}(x)\text{ad}(y))^n z$  is eventually zero. That is (since  $\mathfrak{g}$  is finite-dimensional),  $\text{ad}(x)\text{ad}(y)$  is nilpotent. Its trace is therefore zero.
- (d) The Lie algebra of traceless upper-triangular 2-by-2 matrices has a Killing form of rank 1. (Its kernel is the 1-dimensional subalgebra of diagonal, traceless matrices.)

**2. (Algebraic Geometry)** Let  $\Lambda \subseteq \mathbb{P}^6$  be a fixed 3-plane and let  $\mathbb{G}(4, 6)$  be the Grassmannian of 4-planes in  $\mathbb{P}^6$ . Let

$$\Sigma = \{ \Gamma : \dim(\Gamma \cap \Lambda) \geq 2 \} \subseteq \mathbb{G}(4, 6).$$

Show that  $\Sigma$  is irreducible and compute the dimension of  $\Sigma$ .

**Solution.** Consider the incidence variety

$$\Phi = \{(A, B) : A \subseteq B\} \subseteq \mathbb{G}(2, 3) \times \mathbb{G}(4, 6)$$

where we have identified  $\mathbb{G}(2, 3)$  with the Grassmannian of 2-planes in  $\Lambda \cong \mathbb{P}^3$  contained in  $\mathbb{P}^6$ . The projection  $\pi_1 : \Phi \rightarrow \mathbb{G}(2, 3)$  is surjective. The fiber  $\pi_1^{-1}(A)$  over any  $A \in \mathbb{G}(2, 3)$  is the set of 5-dimensional subspaces of a 7-dimensional vector space  $V$  containing a 3-dimensional subspace  $A$ , or the set

of 2-dimensional subspaces of the 4-dimensional vector space  $V/A$ . In other words,  $\pi_1$  has fibers  $\mathbb{G}(1, 3)$  of dimension 4. Because  $\mathbb{G}(2, 3)$  has dimension 3,

$$\dim(\Phi) = 3 + 4 = 7.$$

Moreover,  $\Phi$  is irreducible because  $\mathbb{G}(2, 3)$  is irreducible, and all fibers of  $\pi_1$  are irreducible of the same dimension.

The second projection  $\pi_2 : \Phi \rightarrow \mathbb{G}(4, 6)$  has image equal to  $\Sigma$ . There exist  $B \in \Sigma \subseteq \mathbb{G}(4, 6)$  with  $\dim(B \cap \Lambda) = 2$ , and the fiber  $\pi_2^{-1}(B)$  consists of a single point  $(B \cap \Lambda, B) \in \mathbb{G}(2, 3) \times \mathbb{G}(4, 6)$  for any such  $B$ . By upper-semicontinuity of fiber dimension,  $\pi_2$  is generally one-to-one onto  $\Sigma$ , and

$$\dim(\Sigma) = \dim(\Phi) = 7.$$

Finally,  $\Sigma$  is irreducible because it is the image of an irreducible projective variety  $\Phi$ .

**3. (Algebraic Topology)** Let  $F_2 = \langle a, b \rangle$  denote the free group on two letters  $a, b$ . Consider the homomorphism  $f : F_2 \rightarrow \mathbb{Z}/2\mathbb{Z}$  defined by  $f(a) = f(b) = 1$ .

- (a) Draw the cover of  $S^1 \vee S^1$  corresponding to the subgroup  $\ker(f)$  of  $\pi_1(S^1 \vee S^1) \cong F_2$ .
- (b) There is a group isomorphism  $\ker(f) \cong F_r$  for some  $r \geq 1$ , where  $F_r$  denotes the free group on  $r$  letters. Determine  $r$ .

**Solution.**

- (a) The subgroup  $\ker(f)$  has generators

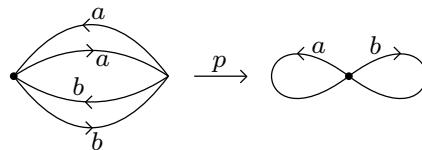
$$\ker(f) = \langle a^2, b^2, ab \rangle.$$

To see this, first observe that  $\ker(f)$  is the subgroup of elements of even word length, so it suffices to show that any word  $w$  of even length in  $F_2$  is contained in  $\langle a^2, b^2, ab \rangle$ . First, if  $w$  has length 2 then  $w$  is one of

$$a^2, \quad b^2, \quad ab, \quad ba = b^2(ab)^{-1}a^2, \quad a^{-1}b = a^{-2}(ab), \quad ab^{-1} = (ab)b^{-2},$$

or their inverses. Therefore,  $\langle a^2, b^2, ab \rangle$  contains any word of length 2, and hence any word of even length.

Consider the following cover  $p : X \rightarrow S^1 \vee S^1$



Contracting one of the edges of  $X$  shows that  $X$  is homotopy equivalent to a wedge of three circles and that three generators of  $\pi_1(X) \cong F_3$  have images  $a^2$ ,  $ab$ , and  $b^2$ . Therefore,  $p_*(\pi_1(X)) = \ker(f)$ .

(b) Let  $p : X \rightarrow S^1 \vee S^1$  be the cover corresponding to  $\ker(f)$ . The solution to part (a) shows that  $X$  is homotopy equivalent to  $S^1 \vee S^1 \vee S^1$ , and so  $\pi_1(X) \cong F_3$ . Recall that  $p_* : \pi_1(X) \rightarrow \pi_1(S^1 \vee S^1)$  is injective and has image  $\ker(f)$  by construction, and hence

$$\ker(f) \cong F_3.$$

**4. (Complex Analysis)** Prove that

$$\sum_{n \geq 1} \frac{1}{n^2} = \frac{\pi^2}{6}$$

by applying the residue theorem to the meromorphic function

$$f(z) = \frac{\pi \cot(\pi z)}{z^2}$$

integrated over the boundary of the rectangle  $R_N$  with vertices  $\pm(N + \frac{1}{2}) \pm i(N + \frac{1}{2})$ , and letting  $N \rightarrow \infty$ .

**Solution.** The function  $\pi \cot(\pi z)$  has simple poles at all integers  $n \in \mathbb{Z}$  with residue 1, so for any non-zero integer  $n$ ,

$$\text{Res}_{z=n} \frac{\pi \cot(\pi z)}{z^2} = \frac{1}{n^2}.$$

At  $z = 0$ ,

$$\frac{\pi \cot(\pi z)}{z^2} = z^{-3} - \frac{\pi^2}{3} z^{-1} + O(z),$$

so

$$\text{Res}_{z=0} \frac{\pi \cot(\pi z)}{z^2} = -\frac{\pi^2}{3}.$$

Therefore, applying the residue theorem, we have

$$\frac{1}{2\pi i} \int_{\partial R_N} f(z) dz = \sum_{n=-N}^N \text{Res}_{z=n} f(z) = -\frac{\pi^2}{3} + 2 \left( \sum_{n=1}^N \frac{1}{n^2} \right),$$

and thus it suffices to show that

$$\lim_{N \rightarrow \infty} \int_{\partial R_N} f(z) dz = 0$$

to conclude the proof.

For this, observe that there is a uniform upper bound  $C$  of  $|\pi \cot(\pi z)|$  on  $\partial R_N$ , independent of  $N$ . For instance, we can take

$$C = \pi \frac{1 + e^{-\pi}}{1 - e^{-\pi}},$$

since

- on the vertical sides,

$$\left| \pi \cot \left( \pi \left( \pm \left( N + \frac{1}{2} \right) + it \right) \right) \right| = |\pi \cot(\frac{\pi}{2} + i\pi t)| = |\pi \tanh(\pi t)| \leq \pi,$$

- and on the horizontal sides,

$$\left| \pi \cot \left( \pi \left( t \pm i \left( N + \frac{1}{2} \right) \right) \right) \right| = \pi \left| \frac{e^{2\pi i(t \pm i(N + \frac{1}{2}))} + 1}{e^{2\pi i(t \pm i(N + \frac{1}{2}))} - 1} \right| \leq \pi \frac{1 + e^{-\pi(2N+1)}}{1 - e^{-\pi(2N+1)}} \leq \pi \frac{1 + e^{-\pi}}{1 - e^{-\pi}}.$$

Hence, since the perimeter of  $\partial R_N$  is  $8(N + \frac{1}{2})$ ,

$$\left| \int_{\partial R_N} f(z) dz \right| \leq \int_{\partial R_N} |f(z)| \leq \frac{C}{(N + \frac{1}{2})^2} \cdot 8(N + \frac{1}{2}) = \frac{8C}{N + \frac{1}{2}} \xrightarrow{N \rightarrow \infty} 0.$$

## 5. (Differential Geometry) Prove that

$$M := \{x_1^2 + x_2^2 - x_3^2 - x_4^4 = 0\} \cap \{x_1^2 + x_2^2 + x_3^2 + x_4^4 = 4\}$$

is a 2-dimensional submanifold of  $\mathbb{R}^4$ . Compute the tangent space of  $M$  at the point  $(1, 1, -1, -1)$ .

**Solution.** Let  $F : \mathbb{R}^4 \rightarrow \mathbb{R}^2$  be the smooth function given by

$$F(x_1, x_2, x_3, x_4) = (x_1^2 + x_2^2 - x_3^2 - x_4^4, x_1^2 + x_2^2 + x_3^2 + x_4^4 - 4).$$

Observe that  $M = F^{-1}(0)$ , so that it will be enough to show that 0 is a regular value of  $F$ . We have

$$\nabla F(x_1, x_2, x_3, x_4) = \begin{bmatrix} 2x_1 & 2x_2 & -2x_3 & -4x_4^3 \\ 2x_1 & 2x_2 & 2x_3 & 4x_4^3 \end{bmatrix}.$$

But, if  $(x_1, x_2, x_3, x_4) \in M$ , then  $x_1^2 + x_2^2 = 2$  so that at most one of  $x_1$  and  $x_2$  vanishes. Likewise, we have  $x_3^2 + x_4^4 = 2$ , so that at most one of  $x_3$  and  $x_4$  vanishes. It follows that the above matrix has full rank on every point of  $M$ , which proves the first part.

The tangent space of the submanifold  $M$  at the point  $p = (1, 1, -1, -1)$  is the kernel of the linear map

$$\nabla F(1, 1, -1, -1) = \begin{bmatrix} 2 & 2 & 2 & 4 \\ 2 & 2 & -2 & -4 \end{bmatrix},$$

which is spanned by the two vectors

$$\begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \end{bmatrix}, \quad \begin{bmatrix} 0 \\ 0 \\ 2 \\ -1 \end{bmatrix} \in T_p \mathbb{R}^4 \cong \mathbb{R}^4.$$

**6. (Real Analysis)** Let  $n \geq 3$  be an integer and  $\omega$  be the volume of the unit sphere in  $\mathbb{R}^n$ . Let

$$K(x) = \frac{-1}{(n-2)\omega} \frac{1}{|x|^{n-2}}.$$

Let  $\delta_0$  be the Dirac delta in  $\mathbb{R}^n$  which means that the value of  $\delta_0$  at a  $C^\infty$  function  $f$  with compact support on  $\mathbb{R}^N$  is equal to  $f(0)$ . Let

$$\Delta = \sum_{j=1}^n \frac{\partial^2}{\partial x_j^2}$$

the Laplacian on  $\mathbb{R}^n$  with coordinates  $x_1, \dots, x_n$ . Prove the identity

$$\Delta K = \delta_0$$

as distributions on  $\mathbb{R}^n$ . In other words, for any  $C^\infty$  function  $f$  on  $\mathbb{R}^n$  with compact support the identity

$$\int_{\mathbb{R}^n} K(x)(\Delta f)(x) = f(0)$$

holds.

**Solution.** First, straightforwardly verify that

$$\Delta \frac{1}{|x|^{n-2}} \equiv 0$$

on  $\mathbb{R}^n - \{0\}$  as follows. From

$$\frac{\partial}{\partial x_j} \frac{1}{|x|^{n-2}} = \frac{\partial}{\partial x_j} \frac{1}{(|x|^2)^{\frac{n-2}{2}}} = -\frac{n-1}{2} \frac{2x_j}{(|x|^2)^{\frac{n}{2}}}$$

and

$$\frac{\partial^2}{\partial x_j^2} \frac{1}{|x|^{n-2}} = -\frac{n-1}{2} \frac{2}{(|x|^2)^{\frac{n}{2}}} + \frac{(n-1)n}{4} \frac{(2x_j)^2}{(|x|^2)^{\frac{n+2}{2}}}$$

on  $\mathbb{R}^n - \{0\}$  it follows that

$$\sum_{j=1}^n \frac{\partial^2}{\partial x_j^2} \frac{1}{|x|^{n-2}} = -\frac{(n-1)n}{(|x|^2)^{\frac{n}{2}}} + \frac{(n-1)n}{(|x|^2)^{\frac{n}{2}}} = 0$$

on  $\mathbb{R}^n - \{0\}$ . For  $\eta > 0$  let  $B(\eta)$  be the closed ball of radius  $\eta$  in  $\mathbb{R}^n$  centered at the origin. Apply the divergence theorem to

$$\operatorname{div}(f \operatorname{grad} K) - \operatorname{div}(K \operatorname{grad} f) = (f \Delta K) - (K \Delta f) = -(K \Delta f)$$

on  $\mathbb{R}^n - B(\eta)$ , where  $\operatorname{div}$  is the divergence operator and  $\operatorname{grad}$  is the gradient operator. Let  $\vec{\nu}$  be the unit outward-pointing normal vector of the boundary  $\partial B(\eta)$  of  $B(\eta)$ . Then

$$\int_{\partial B(\eta)} f(\operatorname{grad} K) \cdot \vec{\nu} - \int_{\partial B(\eta)} K(\operatorname{grad} f) \cdot \vec{\nu} = - \int_{\mathbb{R}^n - B(\eta)} K \Delta f.$$

Since  $K = O\left(\frac{1}{|z|^{n-2}}\right)$  and the volume of  $\partial B(\eta)$  is  $0(\eta^{n-1})$  and  $f$  is  $C^\infty$ , as  $\eta \rightarrow 0$  the term

$$\int_{\partial B(\eta)} K(\operatorname{grad} f) \cdot \vec{\nu}$$

goes to zero. Since

$$(\operatorname{grad} K) \cdot \vec{\nu} = \frac{-1}{\omega \eta^{n-1}} + (\text{lower order terms})$$

and the volume of  $\partial B(\eta)$  is  $\omega \eta^{n-1}$ , it follows that as  $\eta \rightarrow 0$  the term

$$\int_{\partial B(\eta)} f(\operatorname{grad} K) \cdot \vec{\nu}$$

approaches  $-f(0)$ . This finishes the proof that

$$f(0) = \int_{\mathbb{R}^n} K \Delta f.$$

# QUALIFYING EXAMINATION

HARVARD UNIVERSITY

Department of Mathematics

Wednesday January 21, 2026 (Day 2)

**1. (Algebra)** Let  $G$  be a non-abelian group of order 12. Show that  $G$  has either 4 or 6 irreducible complex representations, and show that both of these possibilities do occur.

**Solution.** The order of  $G$  is the sum of the squares of the dimensions of the irreducible representations; and the number of 1-dimensional representations is the order of the abelianization  $G/[G, G]$ , which must divide  $|G|$ . Going systematically we find that the only ways to write 12 as a sum of squares with the constraint that the number of 1's divides 12 are the following:

$$\begin{aligned} 12 &= 1^2 + \cdots + 1^2, \quad (12 \text{ times}), \\ 12 &= 3^2 + 1^2 + 1^2 + 1^2 \\ 12 &= 2^2 + 2^2 + 1^2 + 1^2 + 1^2. \end{aligned}$$

In the first case,  $G$  is abelian. So for non-abelian  $G$ , only the last two possibilities are feasible, and there are either 4 or 6 irreducible representations, whose dimensions are either  $(3, 1, 1, 1)$  or  $(2, 2, 1, 1, 1)$  respectively.

Consider now the group  $A_4$ , which has order 12. It has four conjugacy classes, represented by the elements  $e$ ,  $(12)(34)$ ,  $(123)$  and  $(132)$ . This group therefore has four irreducible representations, because the number of irreducible representations is equal to the number of conjugacy classes. Alternatively,  $A_4$  is the group of rotational symmetries of the regular tetrahedron, so it has an irreducible 3-dimensional representation, and therefore the representations must be  $(3, 1, 1, 1)$  by the above classification. Alternatively again, the abelianization has order 3, so there must be exactly three 1-dimensional representations, again implying that it must be  $(3, 1, 1, 1)$ .

Consider next the group dihedral group  $D$  of order 12, presented as  $\langle r, s \mid r^6 = s^2 = e, srs = r^{-1} \rangle$  (so that  $r$  is a rotation through  $2\pi/6$  in the plane and  $s$  is a reflection, in the usual way). There are 6 conjugacy classes, represented by the elements  $e$ ,  $r$ ,  $r^2$ ,  $r^3$ ,  $s$  and  $rs$ . (As symmetries of the hexagon, the latter two are reflections in a line through vertices and a line through midpoints of edges, respectively.) The group therefore has 6 irreducible representations. Alternatively, the abelianization has order 4, implying that there are 4 abelian characters and we must be in the case  $(2, 2, 1, 1, 1)$  by the classification.

## 2. (Algebraic Geometry)

(a) For each ring  $R$  below, determine whether  $R$  is the coordinate ring of an affine variety (not necessarily irreducible).

- $R = \mathbb{C}[x]/(x^3 - 2x^2 + x)$ .
- $R = \mathbb{C}[x]/(x^3 - 1)$ .

(b) Consider the following affine varieties

$$X = V(xy(x - y)) \subseteq \mathbb{A}_{\mathbb{C}}^2, \quad Y = V(xy, yz, xz) \subseteq \mathbb{A}_{\mathbb{C}}^3.$$

Are  $X$  and  $Y$  isomorphic varieties?

**Solution.**

(a) The ring  $\mathbb{C}[x]/(x^3 - 2x^2 + x)$  is not the coordinate ring of any affine variety. Factor

$$x^3 - 2x^2 + x = x(x - 1)^2$$

and observe that  $x(x(x - 1)^2) = (x^2 - x)^2$  is contained in the ideal  $(x^3 - 2x^2 + x) \subseteq \mathbb{C}[x]$ , while  $x^2 - x$  is not. Therefore,  $\mathbb{C}[x]/(x^3 - 2x^2 + x)$  has nilpotent elements, and so is not the coordinate ring of any affine variety.

For the ring  $\mathbb{C}[x]/(x^3 - 1)$ , consider the factorization

$$x^3 - 1 = (x - 1)(x - \zeta_3)(x - \zeta_3^2)$$

where  $\zeta_3 = e^{\frac{2\pi i}{3}} \in \mathbb{C}$ . Because  $x^3 - 1$  is square-free,  $\mathbb{C}[x]/(x^3 - 1)$  has no nilpotent elements; for example, there is a ring isomorphism

$$\mathbb{C}[x]/(x^3 - 1) \cong \mathbb{C}^3$$

by the Chinese remainder theorem. By the Nullstellensatz,  $\mathbb{C}[x]/(x^3 - 1)$  is the coordinate ring of an affine variety. (In particular, it is the coordinate ring of the set of three points  $\{1, \zeta_3, \zeta_3^2\}$  in  $\mathbb{A}_{\mathbb{C}}^1$ .)

(b) Although both  $X, Y$  are unions of three lines intersecting at one point, the varieties  $X$  and  $Y$  are not isomorphic. To see this, observe that both  $X$  and  $Y$  have unique singular points, at  $(0, 0)$  and  $(0, 0, 0)$  respectively. We will show that the Zariski tangent space  $T_{(0,0)}X$  is 2-dimensional, while  $T_{(0,0,0)}Y$  is 3-dimensional. (In fact, the latter computation shows that  $Y$  has no embedding into  $\mathbb{A}_{\mathbb{C}}^2$  at all.)

Consider the maximal ideal of functions of  $X$  vanishing at  $(0, 0)$

$$\mathfrak{m} = (x, y) \subseteq \mathbb{C}[x, y]/(xy(x - y)).$$

Then  $\mathfrak{m}^2 = (x^2, xy, y^2) \subseteq \mathbb{C}[x, y]/(xy(x - y))$ . The elements  $x, y$  are linearly independent in  $\mathfrak{m}/\mathfrak{m}^2$ : if  $ax + by \in \mathfrak{m}^2$  for some  $a, b \in \mathbb{C}$  then  $ax + by$  is contained in the ideal  $(x^2, xy, y^2)$  as an element of  $\mathbb{C}[x, y]$ , meaning  $a = b = 0$ . Therefore,  $\dim(\mathfrak{m}/\mathfrak{m}^2) = 2$ .

Now consider the maximal ideal of functions of  $Y$  vanishing at  $(0, 0, 0)$

$$\mathfrak{m} = (x, y, z) \subseteq \mathbb{C}[x, y, z]/(xy, yz, xz).$$

Then  $\mathfrak{m}^2 = (x^2, y^2, z^2) \subseteq \mathbb{C}[x, y, z]/(xy, yz, xz)$ . The elements  $x, y, z$  are linearly independent in  $\mathfrak{m}/\mathfrak{m}^2$ : if  $ax + by + cz \in \mathfrak{m}^2$  for some  $a, b, c \in \mathbb{C}$  then  $ax + by + cz$  is contained in the ideal  $(x, y, z)^2$  as an element of  $\mathbb{C}[x, y, z]$ , meaning  $a = b = c = 0$ . Therefore,  $\dim(\mathfrak{m}/\mathfrak{m}^2) = 3$ .

**3. (Algebraic Topology)** Consider  $S^2 \times S^2$  with the product orientation. Let  $u \in H^2(S^2; \mathbb{Z}) \cong \mathbb{Z}$  be the positive generator, and set

$$x := \pi_1^* u, \quad y := \pi_2^* u \in H^2(S^2 \times S^2; \mathbb{Z}),$$

where  $\pi_i : S^2 \times S^2 \rightarrow S^2$  denotes the projection to the  $i$ -th factor. Suppose  $f : S^2 \times S^2 \rightarrow S^2 \times S^2$  is a continuous map of degree 1 with no fixed points. Prove that

$$f^* x = -x, \quad f^* y = -y$$

in  $H^2(S^2 \times S^2; \mathbb{Z})$ .

**Solution.** By Künneth formula, the cohomology ring of  $S^2 \times S^2$  is

$$H^*(S^2 \times S^2; \mathbb{Z}) \cong \mathbb{Z}[x]/(x^2) \otimes \mathbb{Z}[y]/(y^2).$$

In particular, its cohomology groups are

$$H^0(S^2 \times S^2; \mathbb{Z}) \cong \mathbb{Z}\langle 1 \rangle, \quad H^2(S^2 \times S^2; \mathbb{Z}) \cong \mathbb{Z}\langle x \rangle \oplus \mathbb{Z}\langle y \rangle, \quad H^4(S^2 \times S^2; \mathbb{Z}) \cong \mathbb{Z}\langle xy \rangle,$$

and zero otherwise. Write

$$f^* x = ax + by, \quad f^* y = cx + dy, \quad a, b, c, d \in \mathbb{Z}.$$

Then,

$$\begin{aligned} 0 &= f^*(x^2) = (ax + by)(ax + by) = 2abxy, \\ 0 &= f^*(y^2) = (cx + dy)(cx + dy) = 2cdxy, \end{aligned}$$

so

$$ab = 0, \quad cd = 0. \tag{1}$$

Moreover,

$$f^*(xy) = (ax + by)(cx + dy) = (ad + bc)xy,$$

so from the degree-1 assumption,

$$ad + bc = 1. \tag{2}$$

It follows from (1) and (2) that, either

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} 0 & \pm 1 \\ \pm 1 & 0 \end{pmatrix}.$$

Finally, the Lefschetz number of  $f$  is

$$\begin{aligned} L(f) &= \sum_{k \geq 0} (-1)^k \text{Tr}(f^*|_{H^k(S^2 \times S^2; \mathbb{Q})}) \\ &= 1 + (a + d) + (ad + bc) = 2 + a + d, \end{aligned}$$

so from the fixed-point-free assumption,

$$a + d = -2,$$

meaning

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix},$$

as desired.

**4. (Complex Analysis)** Let  $\mathbb{D} := \{z \in \mathbb{C} \mid |z| < 1\}$  be the unit disk. Suppose  $f : \mathbb{D} \rightarrow \mathbb{D}$  is a holomorphic function with two distinct fixed points  $a \neq b \in \mathbb{D}$ . Prove that  $f(z) = z$  for all  $z \in \mathbb{D}$ .

**Solution.** Let

$$\begin{aligned} \phi_a : \mathbb{D} &\rightarrow \mathbb{D} \\ z &\mapsto \frac{z - a}{1 - \bar{a}z} \end{aligned}$$

be the standard biholomorphic automorphism of  $\mathbb{D}$  sending  $a$  to 0, with inverse  $\phi_a^{-1} : w \mapsto \frac{w+a}{1+\bar{a}w}$ . Define

$$f_0 := \phi_a \circ f \circ \phi_a^{-1}.$$

Then  $f_0(0) = 0$  and  $f_0(\phi_a(b)) = \phi_a(b) \neq 0$ .

Recall that Schwarz lemma states that any holomorphic map  $g : \mathbb{D} \rightarrow \mathbb{D}$  with  $g(0) = 0$  must satisfy

$$|g(z)| \leq |z| \quad \text{for all } z \in \mathbb{D},$$

and moreover, if the equality holds at some nonzero point, then  $g$  must be a rotation  $g(z) = e^{i\theta}z$ , for some  $\theta$ . It follows that  $f_0$  is the identity map, and so is  $f$ .

**5. (Differential Geometry)** Consider the disk  $D^2 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 < 1\}$  with the metric

$$g = \frac{1}{1 - (x^2 + y^2)} (dx \otimes dx + dy \otimes dy).$$

Compute the Levi-Civita connection of the corresponding Riemann manifold.

**Solution.** Recall that  $\nabla_{\partial_i} \partial_j = \sum_k \Gamma_{ij}^k \partial_k$ , where

$$\Gamma_{ij}^k = \frac{1}{2} g^{kk} (\partial_i g_{kj} + \partial_j g_{ki} - \partial_k g_{ij}),$$

as  $g$  is diagonal. We find

$$\begin{aligned}\Gamma_{xx}^x &= \frac{x}{1 - (x^2 + y^2)}, & \Gamma_{yy}^y &= \frac{y}{1 - (x^2 + y^2)}, \\ \Gamma_{xx}^y &= \frac{-y}{1 - (x^2 + y^2)}, & \Gamma_{yy}^x &= \frac{-x}{1 - (x^2 + y^2)}, \\ \Gamma_{xy}^x &= \Gamma_{yx}^x = \frac{y}{1 - (x^2 + y^2)}, & \Gamma_{xy}^y &= \Gamma_{yx}^y = \frac{x}{1 - (x^2 + y^2)}.\end{aligned}$$

**6. (Real Analysis)** Suppose that  $f_j$  ( $j = 1, 2, \dots$ ) and  $f$  are real functions on  $[0, 1]$ . We say that  $f_j \rightarrow f$  in measure if and only if for any  $\varepsilon > 0$  we have

$$\lim_{j \rightarrow \infty} \mu \{ x \in [0, 1] : |f_j(x) - f(x)| > \varepsilon \} = 0,$$

where  $\mu$  is the Lebesgue measure on  $[0, 1]$ . In this problems, all functions are assumed to be in  $L^1[0, 1]$ .

(a) Suppose that  $f_j \rightarrow f$  in measure. Does it follow that

$$\lim_{j \rightarrow \infty} \int |f_j(x) - f(x)| \, dx = 0.$$

Prove it or give a counterexample.

(b) Suppose that  $f_j \rightarrow f$  in measure. Does it follow that  $f_j(x) \rightarrow f(x)$  almost everywhere in  $[0, 1]$ ? Prove it or give a counter example.

(c) Suppose that  $f_j(x) \rightarrow f(x)$  almost everywhere in  $[0, 1]$ . Does it follow that  $f_j \rightarrow f$  in measure? Prove it or give a counter example.

### Solution.

(a) No. For a counterexample, take  $f = 0$  and take  $f_j$  to be  $j$  times the characteristic function of  $[0, 1/j]$ . Then  $f_j - f$  is non-zero on a set of measure  $1/j$  while  $\int |f_j - f| = 1$ .

(b) No. For  $n \geq 0$  and  $j = 2^n + k$  with  $0 \leq k < 2^n$ , let  $f_j$  be the characteristic function of the interval  $2^{-n}[k, k+1]$ . Let  $f = 0$ . Then  $|f - f_j|$  is supported in an interval of measure at most  $2^{-n}$  for  $j \geq 2^n$ , so  $f_j$  converges to  $f$  in measure. But  $f_j$  does not converge almost everywhere to 0, because for every  $x \in [0, 1]$ , the value  $f_j(x)$  is 1 infinitely often.

(c) Yes. For clarity, we can take  $f = 0$  (subtracting the original  $f$  from everything) and we may assume  $f_j(x) \rightarrow 0$  for all  $x$  by modifying the functions on a null set. To show convergence in measure, fix any  $\varepsilon > 0$ . Let  $E_j = \{ x : |f_j(x)| > \varepsilon \}$ , let

$$S_j = \bigcup_{k \geq j} E_k,$$

and let  $s_j$  be the characteristic function of  $S_j$ . Pointwise convergence implies that, for all  $x$ , the value  $s_j(x)$  is eventually 0. By the dominated convergence theorem then,  $\int |s_j| \rightarrow 0$ . In other words, the measure of  $S_j$  tends to zero as  $j \rightarrow \infty$ , and *a fortiori* the same holds of the measure of  $E_j$ . Since  $\epsilon$  was arbitrary, it follows that  $f_j \rightarrow 0$  in measure.

# QUALIFYING EXAMINATION

HARVARD UNIVERSITY

Department of Mathematics

Thursday January 22, 2026 (Day 3)

**1. (Algebra)** Let  $k$  be a field. Let  $K/k$  be a finite separable extension, and  $L/k$  be an arbitrary extension. Prove that the commutative  $k$ -algebra  $K \otimes_k L$  splits as a finite product of finite separable extensions of  $L$ .

*Hint.* You may find it useful to apply the theorem of the primitive element.

**Solution.**

By the primitive element theory, there exists an irreducible separable polynomial  $f \in k[x]$  such that  $K \cong k[x]/f$  as fields. It is therefore enough to analyze the structure of the commutative  $k$ -algebra  $K \otimes_k L \cong L[x]/f$ . In order to do so, let

$$f(x) = f_1(x) \cdots \cdots f_r(x)$$

be the factorization of  $f$  into monic irreducible polynomials in  $L[x]$ . As  $f$  is separable, the polynomials  $f_1, \dots, f_r$  are pairwise relatively prime. It follows from the Chinese remainder theorem that

$$L[x]/f \cong \prod_{i=1}^r L[x]/f_i$$

as commutative  $L$ -algebras. But  $L[x]/f_i$  is a finite separable extensions of  $L$  as  $f_i$  is irreducible and separable. This concludes the proof.

**2. (Algebraic Geometry)** Let  $X = \text{Bl}_0(\mathbb{A}^2)$  be the blow-up of  $\mathbb{A}^2$  at the origin.

- (a) Using local coordinates, identify the exceptional divisor  $E$  and show that  $E \simeq \mathbb{P}^1$ .
- (b) Show that the strict transform of the curve  $C = \{(x, y) \in \mathbb{A}^2 \mid y^2 = x^3\}$  is smooth.

**Solution.**

- (a) We have

$$X \subset \mathbb{A}^2 \times \mathbb{P}^1, \quad X = \{((x, y), [s : t]) \mid xt = ys\}.$$

It follows that the fiber over  $(0, 0) \in \mathbb{A}^2$  is  $\mathbb{P}^1$ .

- (b) The *strict transform*  $\tilde{C} \subset X$  is the closure of  $\pi^{-1}(C \setminus \{0\})$ , where  $\pi: \text{Bl}_0(\mathbb{A}^2) \rightarrow \mathbb{A}^2$  is the natural morphism.

Let us cover  $X$  by two open charts and verify that the intersection of  $\tilde{C}$  with both of them is smooth. We have

$$X = U_s \cup U_t,$$

where  $U_s$  consists of  $((x, y), [s : t]) \in X$  such that  $s \neq 0$  and  $U_t$  consists of  $((x, y), [s : t]) \in X$  such that  $t \neq 0$ .

On  $U_s$  we have  $y = \frac{xt}{s}$  and setting  $v := \frac{t}{s}$  we get  $y = xv$ . Now  $C$  is given by the equation  $f = y^2 - x^3 = 0$ . Substituting  $y = xv$  we see that  $f$  becomes  $x^2v^2 - x^3 = x^2(v^2 - x)$ , so the strict transform of  $C$  being intersected with  $U_s$  is given (in coordinates  $(x, y, v)$ ) by the equation  $v^2 - x = 0$  so is indeed smooth.

On  $U_t$  we have  $x = y\frac{s}{t}$  so  $x = yu$ , where  $u = \frac{s}{t}$ . Substituting  $x = yu$  in the equation defining  $f$  we obtain  $y^2 - y^3u^3$ , so the strict transform of  $C$  being intersected with  $U_t$  is given by the equation  $1 - yu^3 = 0$  and also defines a smooth variety.

**3. (Algebraic Topology)** Let  $n \geq 1$ . Compute the homotopy groups  $\pi_k(\mathbb{CP}^n)$ , for each  $1 \leq k \leq 2n$ .

**Solution.** Using the Serre fibration

$$S^1 \hookrightarrow S^{2n+1} \rightarrow \mathbb{CP}^n,$$

we get a long exact sequence in homotopy groups

$$\cdots \rightarrow \pi_k(S^{2n+1}) \rightarrow \pi_k(\mathbb{CP}^n) \rightarrow \pi_{k-1}(S^1) \rightarrow \pi_{k-1}(S^{2n+1}) \rightarrow \cdots.$$

Since  $k < 2n+1$ , the portion of the long exact sequence shown above becomes

$$0 \rightarrow \pi_k(\mathbb{CP}^n) \rightarrow \pi_{k-1}(S^1) \rightarrow 0,$$

and thus

$$\pi_k(\mathbb{CP}^n) \cong \pi_{k-1}(S^1) \cong \begin{cases} \mathbb{Z} & \text{if } k = 2, \\ 0 & \text{if } k = 1 \text{ or } 3 \leq k \leq 2n. \end{cases}$$

**4. (Complex Analysis)** Suppose  $f$  is a doubly-periodic meromorphic function on  $\mathbb{C}$  with periods  $\omega_1, \omega_2$  which are  $\mathbb{R}$ -linearly independent. Let  $a \in \mathbb{C}$  such that the sides of the parallelogram  $\Omega$  with vertices  $a, a + \omega_1, a + \omega_2, a + \omega_1 + \omega_2$  do not contain any zeroes or poles of  $f$ . Let  $b_1, \dots, b_p$  (respectively  $a_1, \dots, a_q$ ) be the zeroes (respectively the poles) of  $f$  with multiplicities  $k_1, \dots, k_p$  (respectively  $\ell_1, \dots, \ell_q$ ) inside  $\Omega$ . By considering the residues of the function

$$\frac{1}{2\pi i} \frac{wf'(w)}{f(w)} dw$$

or otherwise, prove that

$$\left( \sum_{\mu=1}^p k_\mu b_\mu \right) - \left( \sum_{\nu=1}^q \ell_\nu c_\nu \right)$$

belongs to  $\mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$ . In other words, in a fundamental parallelogram the sum of the coordinates of the zeroes of an elliptic function equals the sum of the coordinates of its poles modulo a period.

**Solution.** It follows from the computation of residues that the integral of

$$\frac{1}{2\pi i} \frac{wf'(w)}{f(w)} dw$$

over the boundary  $\partial\Omega$  of the fundamental parallelogram  $\Omega$  is equal to

$$\left( \sum_{\mu=1}^p k_\mu b_\mu \right) - \left( \sum_{\nu=1}^q \ell_\nu c_\nu \right).$$

We compute the boundary integral over  $\partial\Omega$  by integrating over the two pairs of opposite sides of  $\Omega$ . The sum of the integrals over the opposite sides  $[a + \omega_1, a + \omega_1 + \omega_2]$  and  $[a, a + \omega_2]$  is

$$\begin{aligned} \frac{1}{2\pi i} \int_{[a+\omega_1, a+\omega_1+\omega_2]} \frac{wf'(w)}{f(w)} dw - \frac{1}{2\pi i} \int_{[a, a+\omega_2]} \frac{wf'(w)}{f(w)} dw \\ = \omega_1 \frac{1}{2\pi i} \int_{[a, a+\omega_2]} \frac{f'(w)}{f(w)} dw. \end{aligned} \tag{3}$$

Note that

$$\frac{1}{2\pi i} \int_{[a, a+\omega_2]} \frac{f'(w)}{f(w)} dw \tag{4}$$

equals  $1/2\pi i$  times the difference of the value of  $\log f(w)$  at  $a + \omega_2$  and at  $a$  when  $w$  runs along  $[a, a + \omega_2]$ . Since  $f(w)$  has the same value at  $a$  as at  $a + \omega_2$ , the difference of the value of  $\log f(w)$  at  $a + \omega_2$  and at  $a$  when  $w$  runs along  $[a, a + \omega_2]$  must be  $2\pi i$  times an integer. Therefore (4) is an integer and (3) is a period of  $f$ . Likewise

$$\frac{1}{2\pi i} \int_{[a, a+\omega_1]} \frac{wf'(w)}{f(w)} dw - \frac{1}{2\pi i} \int_{[a+\omega_2, a+\omega_1+\omega_2]} \frac{wf'(w)}{f(w)} dw$$

is also a period of  $f$ .

## 5. (Differential Geometry)

(a) Compute  $H_{\text{dR}}^k(\mathbb{R}^n \setminus \{0\})$  for all  $k$ .

(b) Show that the  $(n - 1)$ -form

$$\eta = \frac{1}{\|x\|^n} \sum_{i=1}^n (-1)^{i-1} x_i dx_1 \wedge \dots \wedge dx_{i-1} \wedge dx_{i+1} \wedge \dots \wedge dx_n$$

is closed on  $\mathbb{R}^n \setminus \{0\}$  and  $\int_{S^{n-1}} \eta = \text{Vol}(S^{n-1})$ .

(c) Deduce that  $[\eta]$  generates  $H_{\text{dR}}^{n-1}(\mathbb{R}^n \setminus \{0\})$ .

**Solution.**

(a) The space  $U := \mathbb{R}^n \setminus \{0\}$  deformation retracts onto the unit sphere  $S^{n-1}$  via the radial retraction

$$r : U \rightarrow S^{n-1}, \quad r(x) = \frac{x}{\|x\|}.$$

Hence  $U$  is homotopy equivalent to  $S^{n-1}$ , so de Rham cohomology agrees:

$$H_{\text{dR}}^k(U) \cong H_{\text{dR}}^k(S^{n-1}).$$

Since

$$H_{\text{dR}}^k(S^{n-1}) \cong \begin{cases} \mathbb{R} & k = 0, \\ \mathbb{R} & k = n - 1, \\ 0 & \text{otherwise,} \end{cases}$$

the same holds for  $U$ .

(b) Let  $\Omega = dx_1 \wedge \dots \wedge dx_n$  be the standard volume form on  $\mathbb{R}^n$ , and let

$$R = \sum_{i=1}^n x_i \frac{\partial}{\partial x_i}$$

be the radial vector field. Then

$$\iota_R \Omega = \sum_{i=1}^n (-1)^{i-1} x_i dx_1 \wedge \dots \wedge \widehat{dx_i} \wedge \dots \wedge dx_n,$$

so

$$\eta = \|x\|^{-n} \iota_R \Omega.$$

Write  $r = \|x\|$ . Then

$$d\eta = d(r^{-n}) \wedge \iota_R \Omega + r^{-n} d(\iota_R \Omega).$$

By Cartan's formula,  $d(\iota_R \Omega) = \mathcal{L}_R \Omega - \iota_R(d\Omega) = \mathcal{L}_R \Omega$  since  $d\Omega = 0$ . Moreover  $\mathcal{L}_R \Omega = (\text{div } R)\Omega = n\Omega$ , so  $d(\iota_R \Omega) = n\Omega$ .

Next,  $d(r^{-n}) = -nr^{-n-1} dr$ . Since  $dr = r^{-1} \sum_{i=1}^n x_i dx_i$ , we get

$$d(r^{-n}) = -nr^{-n-2} \sum_{i=1}^n x_i dx_i.$$

Let  $\alpha = \sum_{i=1}^n x_i dx_i$ . It's easy to see that

$$\alpha \wedge \iota_R \Omega = r^2 \Omega.$$

Therefore

$$d(r^{-n}) \wedge \iota_R \Omega = -nr^{-n-2} \alpha \wedge \iota_R \Omega = -nr^{-n-2} r^2 \Omega = -nr^{-n} \Omega,$$

while

$$r^{-n} d(\iota_R \Omega) = r^{-n} n \Omega = nr^{-n} \Omega.$$

These cancel, hence  $d\eta = 0$  on  $U$ .

On  $S^{n-1}$  we have  $r = 1$ , so  $\eta|_{S^{n-1}} = \iota_R \Omega|_{S^{n-1}}$ . Along the sphere,  $R$  is the outward normal vector field, and contracting the ambient volume form with the outward unit normal gives the induced oriented volume form on the hypersurface. Hence  $\eta|_{S^{n-1}}$  is the standard volume form, so

$$\int_{S^{n-1}} \eta = \text{Vol}(S^{n-1}).$$

(c) We know  $H_{\text{dR}}^{n-1}(U) \cong \mathbb{R}$ , so it is one-dimensional. To show  $[\eta] \neq 0$ , note that if  $\eta = d\beta$  on  $U$ , then by Stokes theorem

$$\int_{S^{n-1}} \eta = \int_{S^{n-1}} d\beta = \int_{\partial(B^n)} d\beta = \int_{B^n} d(d\beta) = 0,$$

contradicting  $\int_{S^{n-1}} \eta = \text{Vol}(S^{n-1}) \neq 0$ . Hence  $[\eta] \neq 0$ , and in a one-dimensional vector space this means  $[\eta]$  is a generator.

**6. (Real Analysis)** Let  $f$  be a bounded real-valued function on  $X = [0, 1] \subset \mathbb{R}$ , and define a function  $\phi : [1, \infty) \rightarrow \mathbb{R}$  by

$$\phi(p) = \|f\|_{L^p(X)}^p.$$

Prove that  $\phi$  is convex.

**Solution.** The exponential function is convex, so for any fixed  $a \geq 0$ , the function  $\psi(p) = a^p$  is a convex function of  $p$ . This means that if we take any  $p_0, p_1 \in [1, \infty)$  and any  $t \in [0, 1]$ , and set

$$p = (1 - t)p_0 + tp_1,$$

then

$$|f(x)|^p \leq (1 - t)|f(x)|^{p_0} + t|f(x)|^{p_1}$$

for all  $x \in [0, 1]$ . (Take  $a = |f(x)|$  in the above.) Integrating this inequality over  $[0, 1]$  gives

$$\phi(p) \leq (1 - t)\phi(p_0) + t\phi(p_1),$$

which says that  $\phi$  is convex.