The Van Kampen theorem

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1 The van Kampen theorem

The van Kampen theorem allows us to compute the fundamental group of a space from information about the fundamental groups of the subsets in an open cover and there intersections. It is classically stated for just fundamental groups, but there is a much better version for fundamental groupoids:

- The statement and proof of the groupoid version are almost the same as the statement and proof for the group version, except they’re a little simpler!
The groupoid version is more widely applicable than the group version and even when both apply, the groupoid version can be simpler to use.

In fact, I’m convinced the only disadvantage of the groupoid version is psychological: groups are more familiar than groupoids to most people.

1.1 Version for the full fundamental groupoid

By \( \pi_{\leq 1}(X) \) we mean the fundamental groupoid of \( X \), which is a category whose objects are the points of \( X \) and whose morphisms from \( p \) to \( q \) are the homotopy classes (relative to endpoints) of paths in \( X \) from \( p \) to \( q \). It is easy to see these form a category where composition is concatenation of paths, and that every morphism has an inverse (so the category is a groupoid) given by the time-reversal of the path. The classical fundamental group of \( X \) at the basepoint \( x_0 \in X \) is \( \text{hom}_{\pi_{\leq 1}(X)}(x_0, x_0) \).

**Theorem 1.** Let \( X \) be a space and \( U \) and \( V \) two open subsets of \( X \) such that \( X = U \cup V \). Then the following diagram, in which all morphisms are induced by inclusions of spaces, is a pushout square of groupoids:

\[
\begin{array}{ccc}
\pi_{\leq 1}(U \cap V) & \longrightarrow & \pi_{\leq 1}(U) \\
\downarrow & & \downarrow \\
\pi_{\leq 1}(V) & \longrightarrow & \pi_{\leq 1}(X)
\end{array}
\]

Notice that \( X \) itself is the push out in the category of topological spaces of the diagram of inclusions \( U \leftarrow U \cap V \rightarrow V \); van Kampen’s theorem says that this particular type of pushout is preserved by the functor \( \pi_{\leq 1} \).

**Proof.** We’ll directly show that \( \pi_{\leq 1}(X) \) satisfies the universal property of the pushout. Consider a commutative square of groupoids

\[
\begin{array}{ccc}
\pi_{\leq 1}(U \cap V) & \longrightarrow & \pi_{\leq 1}(U) \\
\downarrow & & \downarrow \Gamma \\
\pi_{\leq 1}(V) & \longrightarrow & G
\end{array}
\]

where \( G \) is some arbitrary groupoid. We need to show that this data induces a unique morphisms of groupoids \( \Phi : \pi_{\leq 1}(X) \to G \). Let’s see the definition is forced so there is at most one such morphism:

• An object of \( \pi_{\leq 1}(X) \) is a point \( x \in X \) and so lies in either \( U \) or \( V \) (or both). If \( x \in U \), we are forced to set \( \Phi(x) = \Gamma(x) \); if \( x \in V \), we are forced to set \( \Phi(x) = \Lambda(x) \). If \( x \in U \cap V \), these definitions agree by the commutative square above.
• A morphism in \( \pi_{\leq 1}(X) \) is (the homotopy class of) a path \( \alpha \) in \( X \). If \( \alpha \) lay solely in \( U \), we would be forced to set \( \Phi(\alpha) = \Gamma(\alpha) \). Similarly if \( \alpha \) were in \( V \), the definition would be forced. In general, we can always split up \( \alpha \) into a composition \( \alpha_1 \circ \cdots \circ \alpha_n \) of a bunch of paths, each of which lies completely in \( U \) or completely in \( V \), and we are forced to set \( \Phi(\alpha) = F_1(\alpha_1) \circ \cdots \circ F_n(\alpha_n) \) where each \( F_i \) is either \( \Gamma \) or \( \Lambda \) as necessary.

So there is at most one choice of \( \Phi \); it only remains to show that this choice works, i.e., that the above description is independent of the choice of decomposition and that it really defines a functor. It is clear that it is functorial if well-defined, so let’s just show it is well defined. Say that \( \alpha \) and \( \beta \) are two homotopic paths between the same pair of points in \( X \) and let \( H : [0,1] \times [0,1] \to X \) be a homotopy between them. By the Lebesgue covering lemma, we can subdivide the square into tiny little squares so that each one is sent by \( H \) to either \( U \) or \( V \). Furthermore, we can arrange that the subdivision of \([0,1] \times \{0\}\) refines the subdivision we chose to define \( \Phi(\alpha) \) and similarly the subdivision of \([0,1] \times \{1\}\) refines the subdivision used for \( \Phi(\beta) \).

For each tiny square, we get an equality in the fundamental groupoid of either \( U \) or \( V \) between composites of the paths obtained by restricting \( H \) to the sides: \( H|_{\text{right}} \circ H|_{\text{top}} = H|_{\text{bottom}} \circ H|_{\text{left}} \). Applying either \( \Gamma \) or \( \Lambda \) as the case maybe, we get an equality in the groupoid \( G \). Adding them all together proves that \( \Phi(\alpha) = \Phi(\beta) \).

There is also a more general version of the theorem for open covers consisting of an arbitrary number of open sets, but (1) the basic two-set version covers most of the applications, (2) the proof of the general version is pretty much the same as the version for two sets, only more difficult notationally. Here’s a statement:

**Theorem 2 ([2, Section 2.7]¹).** Let \( U \) be an open cover of a space \( X \) such that the intersection of finitely many members of \( U \) again belongs to \( U \). We can regard \( U \) as the objects of a category whose morphisms are simply the inclusions. The fundamental groupoid of \( X \) is the colimit of the diagram formed by restricting the fundamental groupoid functor \( \pi_{\leq 1} \) to the category \( U \); in symbols: \( \pi_{\leq 1}(X) = \text{colim}_{U \in U} \pi_{\leq 1}(U) \).

### 1.2 Version for a subset of the base points

There is also a version for the fundamental groupoid on a subset of the basepoints. For a set \( A \subseteq X \), let \( \pi_{\leq 1}(X,A) \) denote the full subcategory of \( \pi_{\leq 1}(X) \) on the objects in \( A \). For the van Kampen theorem to hold for these \( \pi_{\leq 1}(\cdot,A) \), \( A \) needs to satisfy the following condition: \( A \) contains at least one point in each component of each of \( U \cap V \), \( U \) and \( V \). It is actually this version that we are really after, since the whole fundamental groupoid is impractical in that it contains a lot of redundant information.

Our strategy for proving this version will be to deduce it from the version for the full fundamental groupoid. The hypothesis on \( A \) guarantees that for \( W = U \cap V, U, V, X \) the

¹Note that May states this with an unnecessary hypothesis: that each open be path connected. His proof, however, never uses it and establishes the version stated here.
groupoid $\pi_{\leq 1}(W, A)$ is equivalent to $\pi_{\leq 1}(W)$ and one might hope that replacing each groupoid in a pushout square by an equivalent groupoid yields a new pushout square. This is not quite right: replacing each groupoid by an isomorphic groupoid would of course give a new pushout square, but isomorphisms are precisely the relation between objects that pushouts are meant to preserve, they won’t preserve mere equivalence. There is a more flexible notion of pushout for groupoids (variously called, weak pushout, homotopy pushout or 2-categorical pushout) that is invariant under equivalence, but we won’t talk about that here.

Instead what we’ll do to deduce the version for $\pi_{\leq 1}(\cdot, A)$ from the version for the full groupoid is (1) show that the commutative square

$$
\begin{array}{ccc}
\pi_{\leq 1}(U \cap V, A) & \longrightarrow & \pi_{\leq 1}(U, A) \\
\downarrow & & \downarrow \\
\pi_{\leq 1}(V, A) & \longrightarrow & \pi_{\leq 1}(X, A)
\end{array}
$$

is a retract of the square for the full fundamental groupoids and (2) using the general category theoretical fact that a retract of a pushout square is also a pushout square.

A retract of an object $X$ is another object $Y$ with a pair of morphisms $i : Y \to X$ and $r : X \to Y$ such that $r \circ i = \text{id}$. We think of $i$ as including $Y$ inside $X$ and $r$ as being a retraction of $X$ onto $Y$. When we say a commutative square $\rho$ is a retract of another square $\sigma$ we mean that each corner of $\rho$ is a retract of the corresponding corner of $\sigma$, but more than just this: all of the inclusions and retractions have to be compatible with one another in the sense that the cubical diagram formed by the two squares and the four inclusions commutes, as does the cube formed by the two squares and the four retractions. It only takes, as one says, a straight-forward diagram chase to prove that a retract of a pushout square must also be a pushout square.

**Exercise.** Prove that a retract of a pushout square is also a pushout square.

Now, in our case it is easy to show the second square is a retract of the first. The inclusions are just that: inclusions $\pi_{\leq 1}(X, A) \to \pi_{\leq 1}(X)$. The retractions are built as follows. To retract $\pi_{\leq 1}(X)$ onto $\pi_{\leq 1}(X, A)$ just pick, for every point $x \in X$ a path $\alpha_x$ from $x$ to some point $a \in A$, but do this in such a way that if $x$ is already in $A$, $\alpha_x$ is the identity morphism at $x$. (We can always pick these paths because the hypothesis include that $A$ has at least one point in each component of each of the spaces we use.) Then the retraction we’re defining is the morphism that sends each $x$ to the other endpoint of $\alpha_x$, and each morphism $\beta : x \to y$ to the morphism $\alpha_y \circ \beta \circ \alpha_x^{-1}$. To ensure that the cube formed by the two van Kampen squares and the four retractions commutes, simply always pick the same $\alpha_x$ for $x$ in all of the groupoids it appears in.
2 Applications

2.1 First examples

We can use the van Kampen theorem to compute the fundamental groupoids of most basic spaces.

2.1.1 The circle

The classical van Kampen theorem, the one for fundamental groups, cannot be used to prove that \( \pi_1(S^1) \cong \mathbb{Z} \)!

The reason is that in a non-trivial decomposition of \( S^1 \) into two connected open sets, the intersection is not connected. That is not an issue for the groupoid version.

Take \( U \) and \( V \) to be semicircles, intersecting at two points \( A = \{p, q\} \).

Remark. Technically, we need \( U \) and \( V \) to be open, so we should take them to be open arcs slightly bigger than semicircles, and then their intersection will be a pair of small arcs, one containing \( p \), one containing \( q \). This makes no essential difference and only complicates the language, so we will silently use closed sets whenever we want, with the understanding that it should be checked that fattening them slightly will produce open sets that van Kampen applies to.

Since each of \( U \) and \( V \) is contractible, both \( \pi_{\leq 1}(U, A) \) and \( \pi_{\leq 1}(V, A) \) are the groupoid with two objects, \( p \) and \( q \) and a single isomorphism \( p \to q \). Also, \( \pi_{\leq 1}(U \cap V, A) \) is just the discrete groupoid on two objects; it has no non-identity morphisms. The pushout \( \pi_{\leq 1}(S^1, A) \) is therefore a groupoid on two objects \( p \) and \( q \), with two isomorphisms \( u, v : p \to q \) and beyond that is as free as possible. So, for example, all the composites \( (v^{-1} \circ u)^n \) are distinct (because there is no reason for them not to be). We get that \( \pi_1(X, p) = \{(v^{-1} \circ u)^n : n \in \mathbb{Z}\} \cong \mathbb{Z} \).

2.1.2 Spheres

We can easily show that all \( S^n \) for \( n > 1 \) are simply-connected. Decompose \( S^n \) as two hemispheres \( H_1 \) and \( H_2 \), intersecting along the equator, which is an \( S^{n-1} \). Since both hemispheres and their intersection are connected, the group version of van Kampen applies, and therefore \( \pi_1(S^n) = \pi_1(H_1) \ast_{\pi_1(S^{n-1})} \pi_1(H_2) \) is the trivial group: both \( H_1 \) and \( H_2 \) are contractible (notice that it doesn’t matter what \( \pi_1(S^{n-1}) \) is.

More generally, this shows that the suspension \( \Sigma X \) of any connected space \( X \) has zero fundamental group.

2.1.3 Glueing along simply-connected intersections

If \( X = U \cap V \) where \( U \) and \( V \) are connected and \( U \cap V \) is simply-connected, then we get that \( \pi_1(X) = \pi_1(U) \ast \pi_1(V) \), where * denotes the free product of spaces, which is the coproduct in the category of groups, or the pushout in groupoids over the discrete groupoid with one object. This simple idea has several applications:
2.1.3.1 Wedges of spaces. If each $X_i$ is a connected space with a reasonable base point (i.e., the base point has a contractible neighborhood), we get that $\pi_1(\bigvee_{i=1}^n X_i) = \pi_1(X_1) \ast \cdots \ast \pi_1(X_n)$. For example, the fundamental group of a bouquet of $n$ circles is the free group on $n$ generators.

2.1.3.2 Removing a point from a manifold. Given an $n$-dimensional manifold $M$, with $n \geq 3$, how are the fundamental groups of $M$ and $M \setminus \{p\}$ related? We can use van Kampen “in reverse” to answer this. Let $U$ be a small neighborhood of $p$, homeomorphic to a ball in $\mathbb{R}^n$ and let $V = M \setminus \{p\}$. Then $U \cap V \simeq S^{n-1}$ which is simply-connected for $n-1 > 1$, so we obtain $\pi_1(M) = \pi_1(M \setminus \{p\})$ in that case.  

2.1.3.3 Attaching cells. The argument in the previous paragraph shows more generally that attaching a cell of dimension 3 or higher to a CW complex does not change its fundamental groupoid. The argument in the footnote shows that a attaching a 2-dimensional cell kills the loop it is attached to (and does nothing else).

2.1.3.4 Connected sums. We can also use the observation in the first paragraph to compute fundamental groups of connected sums of manifolds. Recall that given two smooth $n$-dimensional manifolds $M$ and $N$, the connected sum $M \# N$ is constructed by removing a ball from each of $M$ and $N$ and gluing these along their boundary, $(M \setminus \text{int}(D^n)) \cup_{\partial D^n} (N \setminus \text{int}(D^n))$. If $n \geq 3$, we simply get a free product $\pi_1(M \# N) = \pi_1(M \setminus D^n) \ast \pi_1(N \setminus D^n) = \pi_1(M) \ast \pi_1(N)$.

2.1.4 Compact surfaces

Computing the fundamental groups of compact surfaces is easily done by starting with a construction of the surface as the result of identifying some sides of a polygon. For example, the Klein bottle $X$ is obtained from a rectangle by glueing opposite sides as indicated by the arrows. To compute its fundamental group, draw a smaller square inside this one, let $U$ be the filled in smaller square, and let $V$ be “frame” around it. Then $U \cap V$ is the smaller square and is homotopy equivalent to $S^1$, $U$ is contractible and $V$ is homotopy equivalent to a wedge of two circles: the paths $a$ and $b$ indicated in the picture (exercise: why are these loops?). We get that $\pi_1(V)$ is free on $a$ and $b$, and the inclusion $U \cap V \to V$, sends a generator of $\pi_1(U \cap V)$ to $b^{-1}ab$ as we see by

\[2\text{If } n = 2, \text{ we get } U \cap V = S^1 \text{ with } \pi_1 = \mathbb{Z}, \text{ so the pushout } \pi_1(M) = \pi_1(M \setminus \{p\}) \ast \mathbb{Z} 1 \text{ just kills the loop around } U \cap V; \text{ i.e. } \pi_1(M) = \pi_1(M \setminus \{p\})/N \text{ where } N \text{ is the normal subgroup generated by a loop around } U \cap V \text{ (and all its conjugates).} \]
going around the square in the picture clockwise. This means that the fundamental group of the Klein bottle is \( \langle a, b \rangle \ast \mathbb{Z} \cong \langle a, b : aba = b \rangle \).

**Exercise.** Using the standard construction of the compact orientable surface of genus \( g \) as the result of identifying sides of a \( 2g \)-gon, prove that its fundamental group is \( \langle a_1, b_1, a_2, b_2, \ldots, a_g, b_g : [a_1, b_1][a_2, b_2] \cdots [a_g, b_g] = 1 \rangle \).

**Exercise.** Prove that the fundamental group of the real projective plane is cyclic of order 2.

### 2.2 Four dimensional manifolds can have arbitrary finitely generated fundamental group

The result in 2.1.3.3 easily implies that given any finitely presented group \( \langle a_1, \ldots, a_m \mid r_1, \ldots, r_n \rangle \) can be obtained as the fundamental group of a finite CW-complex with a single 0-cell, a loop for each \( a_i \) and a 2-cell attached to each \( r_i \).\(^3\) A geometric version of this construction shows that any finitely presented group is the fundamental group of some smooth 4-manifold:

By 2.1.3.4, the connected sum of \( m \) copies of \( S^1 \times S^3 \) has fundamental group isomorphic to the free group on \( a_1, \ldots, a_m \). Now we can impose each relation \( r_j \) as follows: realize \( r_j \) as a simple loop. A tubular neighbourhood of this looks like \( S^1 \times D^3 \). Do surgery and replace this tubular neighbourhood with \( S_2 \times D^2 \), which has the same boundary: \( \partial(S^1 \times D^3) = S^1 \times S^2 = S^2 \times S^1 = \partial(S_2 \times D^2) \). This kills \( r_j \).

### 2.3 The Jordan Curve theorem

Now we are going to prove the Jordan Curve Theorem. This is a very well known result, famous for being totally believable, almost obvious even, but surprisingly hard to prove. There are a number of versions of the theorem so I should say precisely which one we’ll be proving.

**Theorem 3** (The Jordan Curve Theorem.). *Let \( C \) be a simple closed curve in the sphere, that is \( C \) is a subset of \( S^2 \) which is homeomorphic to a circle. Then, the complement of \( C \) has exactly two connected components.*

We will present Ronnie Brown’s proof [1, Section 9.2] with a few minor simplifications. A further refinement of the theorem, which we will not prove here, is that each of the two components of the complement of \( C \) has boundary equal to \( C \). This is also proved in Brown’s book. The plan of the proof is to show that, on \( S^2 \):

1. The complement of an arc is connected.

\(^3\)And if you don’t care about finiteness, any group can be similarly obtained from a CW-complex with possibly infinitely many 1- and 2-cells.
2. The complement of a simple closed curve has exactly two components, by showing

(a) it is disconnected, and

(b) it cannot have 3 or more components.

Steps 1 and 2b will both use a nice lemma on free groups inside pushouts of groupoids that I think should be motivated before it’s stated and proved, so we won’t do the proof in logical sequence; rather, we’ll do step b (assuming a) first, then the lemma, and then steps a and c.

2.3.1 The complement of a simple closed curve has exactly two components

In this part we will assume that the complement of any arc (i.e., a subset homeomorphic to a closed interval) on $S^2$ is connected. This will be proved a little later.

Throughout this section we’ll let $C$ be a subset of $S^2$ homeomorphic to $S^1$, and let $C = D \cup E$ where $D$ and $E$ are arcs that meet in exactly two points $a$ and $b$. We’ll also let $U = S^2 \setminus D$, $V = S^2 \setminus E$, so that $U \cap V = S^2 \setminus C$ and $U \cup V = S^2 \setminus \{a,b\} =: X$.

Note that, since $C, D$ and $E$ are all compact, they are closed subsets of $S^2$. Moreover, since $U$, $V$ and $X$ are open subsets of $S^2$ (and $S^2$ is locally path-connected), we won’t need to distinguish between connectedness and path-connectedness for these subspaces.

**Proposition 1.** The complement of a simple closed curve is disconnected.

**Proof.** Assume it were connected. Then we can use van Kampen’s theorem (even the fundamental group version!) to get that

$$
\begin{array}{ccc}
\pi_1(U \cap V) & \longrightarrow & \pi_1(U) \\
\downarrow & & \downarrow \\
\pi_1(V) & \longrightarrow & \pi_1(X)
\end{array}
$$

is a pushout square of groups. The lower right corner we know: it is just $\mathbb{Z}$, since $X$ is (homeomorphic to) an (open) annulus, and thus equivalent to a circle. We’ll get a contradiction by showing that both $\pi_1(U) \to \pi_1(X)$ and $\pi_1(V) \to \pi_1(X)$ are trivial morphisms sending everything to zero.

It should be intuitive that these morphisms are indeed zero: we’re saying that if you have a loop on a sphere that avoids some arc, then the loop can be contracted to a point without going through the endpoints of the arc. To prove it, let’s just put one endpoint off limits to begin with: $S^2 \setminus \{b\}$ is homemorphic to $\mathbb{R}^2$ (by stereographic projection, for example), and we can even pick a homemorphism for which $a$ maps to the origin in the plane. Then the arc $D$ corresponds to some curve starting at the origin and going off to infinity, and if we pick a parametrization $\alpha : [0, \infty) \to \mathbb{R}^2$ for this curve, what we’re trying to show is that any loop $\gamma$ in the plane avoiding the image of $\alpha$ can be contracted to a point while avoiding the origin. Our strategy for that is to translate $\gamma$ by the vector $-\alpha(t)$: when $t = 0$ we just get
\( \gamma \), but as \( t \to \infty \), \( \gamma \) gets pushed away from the origin until it “looks tiny when viewed from the origin” and then be contracted.

More formally, since the image of \( \gamma \) is compact, it lies inside some big ball of radius \( R \) around the origin and there is a \( t_0 \) such that \( |\alpha(t_0)| > R \). Consider the homotopy \( H_t(s) = H(t, s) = \gamma(s) - \alpha(t) \). Because the loop \( \gamma \) avoid the image of \( \alpha \), \( H \) never passes through the origin. Also, we have \( H_0 = \gamma \) and \( H_{t_0} \) is a loop that lies inside the ball \( B \) of radius \( R \) with center \( \alpha(t_0) \). Since \( |\alpha(t_0)| > R \), \( B \) does not contain the origin and the loop \( H_{t_0} \) can be safely contracted to a point inside of \( B \). This shows that the inclusion \( U \to X \), induces the zero map on \( \pi_1 \), as required.

\[ \text{Proposition 2.} \] The complement of a simple closed curve has exactly two components.

\[ \text{Proof.} \] We’ve seen it’s disconnected, so it has at least two components; we need only show it can’t have three or more components. To do this we’ll apply van Kampen to \( U \) and \( V \) again (but this time we do need the groupoid version). Take a set \( A \) of base points that consists of exactly one point from each component of \( S^2 \setminus C = U \cap V \). Notice that since \( U, V \) and \( X \) are connected we don’t have to worry about \( A \) failing to meet some component of them. Now, van Kampen gives us a pushout of groupoids:

\[
\begin{array}{ccc}
\pi_1(U \cap V, A) & \longrightarrow & \pi_1(U, A) \\
\downarrow & & \downarrow \\
\pi_1(V, A) & \longrightarrow & \pi_1(X, A)
\end{array}
\]

Again, we know all about the lower right corner. In particular, if we take a point \( p \in A \), we know that the fundamental group of \( X \) based at \( p \) is just \( \mathbb{Z} \). But here is a heuristic argument indicating that this is not consistent with the pushout square: we get \( |A| - 1 \) independent loops at \( p \) coming from following some path from \( p \) to \( q \in A \) in \( U \) and coming back along some path in \( V \). The fact that in \( U \cap V \) there are no paths from \( p \) to \( q \) tells us these loops in \( X \) are non-trivial and have no relations between them. This (correctly) suggests we actually get the free group on \( |A| - 1 \) generators sitting inside \( \pi_1(X, p) \), which shows \( |A| = 2 \).

All that remains is make the heuristic precise, which is the next section.

\subsection{A lemma about pushouts of groupoids}

\[ \text{Lemma 1.} \] Consider a pushout square of groupoids,

\[
\begin{array}{ccc}
A & \longrightarrow & B \\
\downarrow & & \downarrow \\
C & \longrightarrow & G
\end{array}
\]

where

\[ \bullet \] \( A, B, C, G \) all have the same objects and the morphisms in the square are all the identity on objects.
• *A* is skeletal, i.e., a disjoint union of groups, and

• *B* and *C* are connected.

In this situation, for any object *p* in *G*, the automorphism group of *p* in *G* contains a free group on *n* − 1 generators, where *n* is the common number of objects of *A*, *B*, *C* and *G*.

**Proof.** Let *D* be the discrete groupoid on the common set of objects of *A*, *B*, *C* and *G*, and let *I* be the indiscrete groupoid on the same set of objects. The unique morphisms *D* → *A* → *D* which are the identity on objects show that *D* is a retract of *A*. We also have that *I* is a retract of both *B* and *C* and indeed the retractions *B* → *I* and *C* → *I* are again determined uniquely by being the identity on objects. The inclusions *I* → *B* and *I* → *C* are not unique; we will choose them as follows: for each object *q* ≠ *p*, choose a morphism \( \beta_q : p \to q \) in *B* and a morphism \( \gamma_q : p \to q \) in *C*, then *I* → *B* is determined uniquely by asking that the unique morphism *p* → *q* in *I* is sent to \( \beta_q \), and similarly for the morphism *I* → *C*.

These retractions are compatible in the sense that they make the diagram

\[
\begin{array}{ccc}
D & \longrightarrow & I \\
\downarrow & & \downarrow \\
I & & C
\end{array}
\]

and one can easily check that this induces a retraction of the pushouts. So, if *F* is the pushout of the first of the two diagrams above, then \( \text{Aut}_G(p) \) has \( \text{Aut}_F(p) \) as a retract; we will show that this group, \( \text{Aut}_F(p) \), is free on *n* − 1 generators. To do so, notice that both *D* and *I* are free groupoids on a directed graph: *D* is free on the empty graph \( E \) with *n* vertices and no edges, and *I* is free on any tree with *n* vertices, but to fix ideas let’s pick one: let *T* be the “star” with center *p*, i.e., a directed graph which one edge going from *p* to every other vertex *q*. Since the free groupoid functor is a left adjoint, the pushout of \( I \leftarrow D \to I \) is the free groupoid on the pushout of the directed graphs \( T \leftarrow E \to T \). This pushout of directed graphs is easy to describe: it simply has two edges, say \( a_q \) and \( b_q \), going from *p* to any other vertex *q*. The automorphism group of *p* in the free groupoid on this doubled tree is clearly free on the *n* − 1 generators of the form \( b_q \gamma_q \). Notice that in the setting where we used this lemma in the previous (where, in particular, the pushout square of groupoids we’re talking about comes from van Kampen) the images of the generators \( b_q \gamma_q \) of \( \text{Aut}_F(p) \) in \( \text{Aut}_G(p) \) are precisely the morphisms \( \beta_q \gamma_q \) described in the heuristic argument at the end of previous section (“going from *p* to *q* along some path in *U* and coming back along some path from *q* to *p* in *V*”).

\[\square\]

### 2.3.3 The complement of an arc on \( S^2 \) is connected

By an arc \( P \subset S^2 \) we just mean a subset of \( S^2 \) which is homeomorphic to \( S^1 \). We will say \( P \) separates two points *a* and *b* if they lie in different components of \( S^2 \setminus P \).

\[\text{In the diagram below all the morphisms are identities on objects.}\]
Lemma 2. If an arc $P$ separates $a$ and $b$ and $P = P_1 \cup P_2$ with the arcs $P_1$ and $P_2$ meeting at a single point $p$, then at least one of $P_1$ and $P_2$ separates $a$ and $b$.

Proof. Assume neither $P_1$ nor $P_2$ has disconnected complement. Take a set of basepoints $A$ that contains exactly one point from each component of $S^2 \setminus P$ (and choose it so $\{a, b\} \subset A$). We can apply van Kampen’s theorem to $U = S^2 \setminus P_1$ and $V = S^2 \setminus P_2$ and $X = U \cup V = S^2 \setminus \{p\}$, and use Lemma 1 to get that $\pi_1(X)$ contains $\mathbb{Z}$ as a retract. This is clearly false as $X$ is homemorphic to $\mathbb{R}^2$. 

Now we can prove the complement of an arc on $S^2$ is connected. Assume not and let the arc $P$ separate $a$ and $b$. Then by using the above lemma over and over again, we can find a nested sequence of smaller and smaller arcs $P_1, P_2, \ldots$ each of which separates $a$ and $b$. The intersection of all of these arcs is a single point $p \in P$ that can’t exist: take any path from $a$ to $b$ that avoids $p$, by compactness it must avoid $P_n$ for sufficiently large $n$, which is a contradiction.

References


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Technically, we need a slight generalization of the lemma, since $\pi_{\leq 1}(U)$ and $\pi_{\leq 1}(V)$ might not be connected, but the point is that in them $a$ and $b$ are in the same component, while in $\pi_{\leq 1}(U \cap V)$ they are not.