

# BONDAL-ORLOV RECONSTRUCTION THEOREM

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### 1. SOME TECHNICAL INGREDIENTS

Let  $X$  be a smooth projective variety over an algebraically closed field  $k$ . Let  $\omega_X$  denote the canonical sheaf of  $X$ .

**1.1. Cohomology at “endpoints”.** One advantage of working with the bounded derived category  $D^b(X) := D^b(\text{Coh}(X))$  is that any chain  $\mathcal{E}^\bullet$  maps to its highest cohomology sheaf and receives a map from its lowest cohomology sheaf.<sup>1</sup> More precisely,

**Lemma 1.1.** *Let  $\mathcal{E}^\bullet$  be an object in  $D^b(X)$ .*

(i) *Set  $m_1$  to be the maximal integer such that  $\mathcal{H}^{m_1}(\mathcal{E}^\bullet) \neq 0$ . Then there is a morphism*

$$\mathcal{E}^\bullet \rightarrow \mathcal{H}^{m_1}(\mathcal{E}^\bullet)[-m_1]$$

*which induces isomorphism on  $m_1$ th cohomology.*

(ii) *Set  $m_2$  to be the minimum integer such that  $\mathcal{H}^{m_2}(\mathcal{E}^\bullet) \neq 0$ . Then there is a morphism*

$$\mathcal{H}^{m_2}(\mathcal{E}^\bullet)[-m_2] \rightarrow \mathcal{E}^\bullet$$

*which induces isomorphism on  $m_2$ th cohomology.*

*Proof.* We only prove (i). There is a quasi-isomorphism

$$\begin{array}{ccccccc} \dots & \longrightarrow & \mathcal{E}^{m_1-1} & \longrightarrow & \ker(d^{m_1}) & \longrightarrow & 0 & \longrightarrow & \dots \\ & & \downarrow & & \downarrow & & \downarrow & & \\ \dots & \longrightarrow & \mathcal{E}^{m_1-1} & \longrightarrow & \mathcal{E}^{m_1} & \xrightarrow{d^{m_1}} & \mathcal{E}^{m_1+1} & \longrightarrow & \dots \end{array}$$

Hence we may replace  $\mathcal{E}^\bullet$  by the upper chain. This chain clearly admits a morphism to  $\mathcal{H}^{m_1}(\mathcal{E}^\bullet)[-m_1]$  which induces isomorphism on  $m_1$ th cohomology. □

This seemingly innocuous lemma turns out to be very useful. Here are some of its consequences:

**Corollary 1.2.** *Let  $\mathcal{E}^\bullet$ ,  $m_1$ ,  $m_2$  be as above. Then for any object  $\mathcal{F}$  of  $\text{Coh}(X)$ , there are bijections:*

$$\begin{aligned} \text{Hom}(\mathcal{H}^{m_1}(\mathcal{E}^\bullet), \mathcal{F}) &\cong \text{Hom}(\mathcal{E}^\bullet, \mathcal{F}[-m_1]) \\ \text{Hom}(\mathcal{F}, \mathcal{H}^{m_2}(\mathcal{E}^\bullet)) &\cong \text{Hom}(\mathcal{F}[-m_2], \mathcal{E}^\bullet). \end{aligned}$$

*Proof.* Rightward maps are given by composition with the maps in Lemma 1.1. Leftward maps are given by taking cohomology. □

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<sup>1</sup>This technique already showed up in Alex Perry’s talk, but let’s review it.

**Corollary 1.3.** *Let  $\mathcal{E}^\bullet$ ,  $m_2$  be as above. Then there is a distinguished triangle:*

$$\mathcal{H}^{m_2}(\mathcal{E}^\bullet)[-m_2] \longrightarrow \mathcal{E}^\bullet \longrightarrow \mathcal{E}_1^\bullet \longrightarrow \mathcal{H}^{m_2}(\mathcal{E}^\bullet)[1-m_2]$$

where  $\mathcal{E}_1^\bullet$  is an object in  $D^b(X)$  with length strictly less than that of  $\mathcal{E}^\bullet$ .

Recall that the length of  $\mathcal{E}^\bullet$  is defined to be  $m_1 - m_2$ .

*Proof.* Construct  $\mathcal{E}_1^\bullet$  as the cone of  $\mathcal{H}^{m_2}(\mathcal{E}^\bullet)[-m_2] \rightarrow \mathcal{E}^\bullet$  and we obtain the above distinguished triangle. Taking cohomology yields

$$\mathcal{H}^{m_2}(\mathcal{E}_1^\bullet) = 0, \quad \mathcal{H}^m(\mathcal{E}_1^\bullet) = \mathcal{H}^m(\mathcal{E}^\bullet) \text{ for all } m > m_2.$$

Hence the length of  $\mathcal{E}_1^\bullet$  is strictly less. □

**1.2. The Grothendieck spectral sequence.** Let  $\mathcal{A}$ ,  $\mathcal{B}$ , and  $\mathcal{C}$  be abelian categories. Use  $K(\mathcal{A})$  (resp.  $K^b(\mathcal{A})$ ) to denote the homotopy category of chain complexes (resp. bounded) in  $\mathcal{A}$ .

**Theorem 1.4** (See [3], Proposition 2.66). *Let  $F_1 : K^b(\mathcal{A}) \rightarrow K^b(\mathcal{B})$  and  $F_2 : K^b(\mathcal{B}) \rightarrow K(\mathcal{C})$  be two exact functors. Suppose that  $\mathcal{A}$  and  $\mathcal{B}$  contain enough injectives and that the image under  $F_1$  of a complex  $\mathcal{I}^\bullet$  with each  $\mathcal{I}^i$  injective is contained in an  $F_2$ -adapted triangulated subcategory  $\mathcal{K}_{F_2}$ .*

*Then for any any complex  $\mathcal{F}^\bullet \in D^b(\mathcal{A})$  there exists a spectral sequence*

$$E_2^{p,q} = R^p F_2(R^q F_1(\mathcal{F}^\bullet)) \implies E^n = R^n(F_2 \circ F_1)(\mathcal{F}^\bullet)$$

Talking about derived functors actually creates some trouble for us: the category  $\text{Coh}(X)$  does not contain enough injectives in general. However, by passing to  $D_{\text{Coh}}^b(\text{QCoh}(X))$ , the derived category of complexes of quasi-coherent sheaves with coherent cohomology, we can still make use of much of the derived functors machinery.

Here are the relevant results that will be used in these notes:

**Proposition 1.5.** *For all  $\mathcal{E}^\bullet, \mathcal{F}^\bullet$  in  $D^b(X)$ ,*

(i) *there is an isomorphism*

$$\text{Ext}^i(\mathcal{E}^\bullet, \mathcal{F}^\bullet) \cong \text{Hom}_{D^b(X)}(\mathcal{E}^\bullet, \mathcal{F}^\bullet[i])$$

(ii) *there are spectral sequences*

$$E_2^{p,q} = \text{Ext}^p(\mathcal{E}^\bullet, \mathcal{H}^q(\mathcal{F}^\bullet)) \implies \text{Ext}^{p+q}(\mathcal{E}^\bullet, \mathcal{F}^\bullet) \tag{1.1}$$

$$E_2^{p,q} = \text{Ext}^p(\mathcal{H}^{-q}(\mathcal{E}^\bullet), \mathcal{F}^\bullet) \implies \text{Ext}^{p+q}(\mathcal{E}^\bullet, \mathcal{F}^\bullet) \tag{1.2}$$

where the Ext's are computed in  $\text{QCoh}(X)$ .

Here is how one proves Proposition 1.5.<sup>a</sup> The essential ingredient is the following

**Proposition 1.6** ([3], Proposition 3.5). *The natural functor  $D^b(X) \rightarrow D_{\text{Coh}}^b(\text{QCoh}(X))$  is an equivalence of categories.*

The proof of this fact is not hard; however, the assumption on boundedness does play a role. Now, part (i) follows from

$$\begin{aligned} \text{Ext}^i(\mathcal{E}^\bullet, \mathcal{F}^\bullet) &\cong \text{Hom}_{D^b(\text{QCoh}(X))}(\mathcal{E}^\bullet, \mathcal{F}^\bullet[i]) \\ &\cong \text{Hom}_{D_{\text{Coh}}^b(\text{QCoh}(X))}(\mathcal{E}^\bullet, \mathcal{F}^\bullet[i]) \\ &\cong \text{Hom}_{D^b(X)}(\mathcal{E}^\bullet, \mathcal{F}^\bullet[i]) \end{aligned}$$

Part (ii) follows from Grothendieck's spectral sequences, first with  $F_2 = \text{Hom}_{\text{QCoh}(X)}(\mathcal{E}^\bullet, -)$ ,  $F_1 = \text{id}$ , and second with  $F_2 = \text{Hom}_{\text{QCoh}(X)}(-, \mathcal{F}^\bullet)$ ,  $F_1 = \text{id}$ .

<sup>a</sup>It's safe to skip this and just assume the proposition.

**Corollary 1.7.** *For any  $\mathcal{E}^\bullet, \mathcal{F}^\bullet$  in  $D^b(X)$  and  $i \in \mathbb{Z}$ , the  $k$ -vector space  $\text{Ext}^i(\mathcal{E}^\bullet, \mathcal{F}^\bullet)$  is finite-dimensional.*

In particular,  $D^b(X)$  is a  $k$ -linear category with finite-dimensional hom-sets.<sup>2</sup>

<sup>2</sup>This means that the hom-sets of  $\mathcal{A}$  are  $k$ -vector spaces, and compositions are  $k$ -bilinear maps.

*Proof.* We know that  $\text{Ext}^i(\mathcal{E}, \mathcal{F})$  is finite dimensional for  $\mathcal{E}, \mathcal{F}$  concentrated in degree zero (i.e., they are just sheaves). Then the spectral sequence

$$E_2^{p,q} = \text{Ext}^p(\mathcal{E}, \mathcal{H}^q(\mathcal{F}^\bullet)) \implies \text{Ext}^{p+q}(\mathcal{E}, \mathcal{F}^\bullet)$$

shows that  $\text{Ext}^i(\mathcal{E}, \mathcal{F}^\bullet)$  is finite dimensional. Finally, (1.2) shows that  $\text{Ext}^i(\mathcal{E}^\bullet, \mathcal{F}^\bullet)$  is finite-dimensional in general.  $\square$

**1.3. Serre duality.** Serre duality can be formulated over  $\text{D}^b(X)$  as the existence of a ‘‘Serre functor.’’

**Definition 1.8.** Let  $\mathcal{A}$  be a  $k$ -linear category. A *Serre functor* is a  $k$ -linear equivalence  $S : \mathcal{A} \rightarrow \mathcal{A}$  such that for any two objects  $a, a'$  of  $\mathcal{A}$ , there exists an isomorphism

$$\text{Hom}_{\mathcal{A}}(a, a') \xrightarrow{\sim} \text{Hom}_{\mathcal{A}}(a', S(a))^\vee$$

which is natural in  $a$  and  $a'$ .

It’s somewhat surprising that Serre functors are always compatible with  $k$ -linear equivalences.

**Lemma 1.9.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $k$ -linear categories with finite-dimensional hom-sets. Suppose  $S_{\mathcal{A}}$  (resp.  $S_{\mathcal{B}}$ ) is a Serre functor on  $\mathcal{A}$  (resp.  $\mathcal{B}$ ). Then any  $k$ -linear equivalence  $F : \mathcal{A} \rightarrow \mathcal{B}$  satisfies

$$S_{\mathcal{B}} \circ F \cong F \circ S_{\mathcal{A}}.$$

In particular, a Serre functor (if exists) is unique up to isomorphism.

*Proof.* There is a chain of natural isomorphisms:

$$\begin{aligned} \text{Hom}_{\mathcal{B}}(F(a), F(S_{\mathcal{A}}(a'))) &\cong \text{Hom}_{\mathcal{A}}(a, S_{\mathcal{A}}(a')) \\ &\cong \text{Hom}_{\mathcal{A}}(a', a)^\vee \\ &\cong \text{Hom}_{\mathcal{B}}(F(a'), F(a))^\vee \\ &\cong \text{Hom}_{\mathcal{B}}(F(a), S_{\mathcal{B}}(F(a'))) \end{aligned}$$

In the last isomorphism, we used finite-dimensionality. Now, as  $F$  is essentially surjective, the conclusion follows from the Yoneda lemma.  $\square$

**Theorem 1.10** (Serre duality). *The functor  $S_X : \text{D}^b(X) \rightarrow \text{D}^b(X)$  defined by*

$$S_X(\mathcal{E}^\bullet) = (\mathcal{E}^\bullet \otimes \omega_X)[n]$$

*is a Serre functor.*

*Proof.* Let me just refer you to [3, Theorem 3.12].  $\square$

Using Serre duality, we can calculate the homological dimension of  $\text{Coh}(X)$ .

**Definition 1.11.** Let  $\mathcal{A}$  be an abelian category. The *homological dimension* of  $\mathcal{A}$  is the largest integer  $n$  for which  $\text{Ext}^i(a, a') = 0$  for all  $i > n$ .

**Corollary 1.12.** *The homological dimension of  $\text{Coh}(X)$  equals the dimension of  $X$ .*

*Proof.* Let  $n = \dim(X)$ . Then for all  $i > n$ ,

$$\text{Ext}^i(\mathcal{F}, \mathcal{G}) \cong \text{Ext}^{n-i}(\mathcal{G}, \mathcal{F} \otimes \omega_X)^\vee = 0$$

for all coherent sheaves  $\mathcal{F}, \mathcal{G}$  on  $X$ . Note also that

$$\text{Ext}^n(\mathcal{O}_X, \omega_X) \cong \text{Hom}(\mathcal{O}_X, \mathcal{O}_X)^\vee \neq 0.$$

Hence  $\text{Coh}(X)$  has homological dimension exactly  $n$ .  $\square$

**1.4. An application: curves.** What does  $D^b(X)$  look like when  $X$  is a curve? The answer turns out to be very simple:

**Theorem 1.13.** *Let  $X$  be a smooth projective curve. Then any object  $\mathcal{E}^\bullet$  in  $D^b(X)$  is formal, i.e.<sup>3</sup>*

$$\mathcal{E}^\bullet \cong \bigoplus \mathcal{H}^i(\mathcal{E}^\bullet)[-i].$$

*Proof.* Let  $\mathcal{E}^\bullet$  be an object in  $D^b(X)$ . We induct on the length of  $\mathcal{E}^\bullet$ . By Corollary 1.3, there is a distinguished triangle

$$\mathcal{H}^m(\mathcal{E}^\bullet)[-m] \longrightarrow \mathcal{E}^\bullet \longrightarrow \mathcal{E}_1^\bullet \longrightarrow \mathcal{H}^m(\mathcal{E}^\bullet)[1-m]$$

where  $m$  is the least integer such that  $\mathcal{H}^m(\mathcal{E}^\bullet)$  is nonzero, and  $\mathcal{E}_1^\bullet$  has strictly smaller length than  $\mathcal{E}^\bullet$ . Induction hypothesis shows that  $\mathcal{E}_1^\bullet$  is formal. Hence

$$\mathcal{H}\text{om}(\mathcal{E}_1^\bullet, \mathcal{H}^m(\mathcal{E}^\bullet)[1-m]) \cong \bigoplus_{i>m} \text{Ext}^{1+i-m}(\mathcal{H}^i(\mathcal{E}_1^\bullet), \mathcal{H}^m(\mathcal{E}^\bullet)) = 0$$

as  $\text{Coh}(X)$  has homological dimension 1. We then appeal to the following general

**Lemma 1.14.** *Given a distinguished triangle*

$$a \longrightarrow b \longrightarrow c \longrightarrow a[1],$$

*if the map  $c \rightarrow a[1]$  is zero, then the sequence  $a \rightarrow b \rightarrow c$  splits.*

There is a diagram of distinguished triangles:

$$\begin{array}{ccccccc} a & \longrightarrow & b & \longrightarrow & c & \xrightarrow{0} & a[1] \\ \downarrow & & \downarrow \cdots & & \downarrow & & \downarrow \\ a & \longrightarrow & a \oplus c & \longrightarrow & c & \longrightarrow & a[1] \end{array}$$

so the dotted arrow exists and must be an isomorphism, by the five-lemma.

Therefore  $\mathcal{E}^\bullet \cong \mathcal{H}^m(\mathcal{E}^\bullet)[-m] \oplus \mathcal{E}_1^\bullet$  and the proof is complete.  $\square$

## 2. THE RECONSTRUCTION THEOREM

**2.1. What is it?** Bondal-Orlov reconstruction theorem gives a way to recover a smooth projective variety from its derived category. The precise statement is as follows:

Let  $X$  and  $Y$  be smooth projective varieties over an algebraically closed field  $k$ .

**Theorem 2.1** (Bondal, Orlov). *Assume that either  $\omega_X$  or  $\omega_X^{-1}$  is ample. If there exists an exact equivalence  $D^b(X) \xrightarrow{\sim} D^b(Y)$ , then  $X$  and  $Y$  are isomorphic.*

Throughout the rest of the notes,  $X$  and  $Y$  will be smooth projective varieties over  $k$ . Their Serre functors are denoted by  $S_X$  and  $S_Y$ . If  $x \in X$  is a (closed) point, let  $k(x)$  denote the skyscraper sheaf at  $x$  with values in  $k$ .

<sup>3</sup>It turns out that for any abelian category  $\mathcal{A}$ , a complex  $\mathcal{E}^\bullet$  in  $D^b(\mathcal{A})$  is formal if and only if for any left-exact functor  $F : \mathcal{A} \rightarrow \mathcal{B}$ , the Grothendieck spectral sequence

$$E_2^{p,q} = R^p F(H^q(\mathcal{E}^\bullet)) \implies R^{p+q} F(\mathcal{E}^\bullet)$$

degenerates at  $E_2$ . Given a morphism  $f : X \rightarrow S$  of ringed spaces, let  $\mathcal{E}^\bullet = Rf_* \mathcal{F}$  for some  $\mathcal{O}_X$ -module  $\mathcal{F}$  on  $X$ , and  $F = \Gamma(S, -)$ . The above spectral sequence specializes to the Leray spectral sequence:

$$E_2^{p,q} = H^p(Y, R^q f_* \mathcal{F}) \implies H^{p+q}(X, \mathcal{F}).$$

The combination of these two facts actually has applications in Hodge theory. (See [2]).

**2.2. Point-like objects in  $D^b(X)$ .** Recall that  $D^b(X)$  is a  $k$ -linear category with finite-dimensional hom-sets (Corollary 1.7).

**Definition 2.2.** An object  $\mathcal{P}^\bullet \in D^b(X)$  is *point-like* if

- (i)  $S_X(\mathcal{P}^\bullet) \cong \mathcal{P}^\bullet[d]$  for some  $d$ ,
- (ii)  $\text{Hom}(\mathcal{P}^\bullet, \mathcal{P}^\bullet[i]) = 0$  for  $i < 0$ , and
- (iii)  $\text{Hom}(\mathcal{P}^\bullet, \mathcal{P}^\bullet) = k$  (as  $k$ -algebras).

In particular, every nonzero element in  $\text{Hom}(\mathcal{P}^\bullet, \mathcal{P}^\bullet)$  is invertible.

If  $\omega_X \cong \mathcal{O}_X$  (e.g. an elliptic curve), then there can be many extra point-like objects. Indeed, any sheaf  $\mathcal{F}$  satisfying (iii) will be point-like, since  $S_X(\mathcal{F}) \cong \mathcal{F}[n]$ , and (ii) is trivially satisfied for sheaves since  $\text{Hom}(\mathcal{F}, \mathcal{F}[i]) \cong \text{Ext}^i(\mathcal{F}, \mathcal{F}) = 0$  when  $i < 0$ . In particular,  $\mathcal{O}_X$  itself will be point-like.

**Proposition 2.3.** (i) Every sheaf of the form  $k(x)[m]$ , for some closed point  $x \in X$  and  $m \in \mathbb{Z}$ , is point-like in  $D^b(X)$ .

(ii) If either  $\omega_X$  or  $\omega_X^{-1}$  is ample, then any point-like object in  $D^b(X)$  is isomorphic to some  $k(x)[m]$ .

*Proof.* The proof of (i) is omitted.

Let  $n = \dim(X)$ . Condition (iii) guarantees that any point-like object  $\mathcal{P}^\bullet$  is nontrivial. Suppose  $\mathcal{H}^i(\mathcal{P}^\bullet) \neq 0$  for some  $i$ . By condition (i), there holds

$$\mathcal{P}^\bullet \otimes \omega_X[n] \cong S_X(\mathcal{P}^\bullet) \cong \mathcal{P}^\bullet[d]$$

Since tensoring with  $\omega_X$  does not change cohomology, we must have  $n = d$  and  $\mathcal{H}^i(\mathcal{P}^\bullet) \otimes \omega_X \cong \mathcal{H}^i(\mathcal{P}^\bullet)$ . Write  $\mathcal{F} = \mathcal{H}^i(\mathcal{P}^\bullet)$ . Under the assumption that  $\omega_X$  is ample or anti-ample, the Hilbert polynomial

$$P(k) = \chi(\mathcal{F} \otimes \omega_X^k)$$

is constant. This shows that  $\mathcal{F}$  has zero-dimensional support. Since this holds for all  $i$ ,  $\mathcal{P}^\bullet$  must have zero-dimensional support.<sup>4</sup> We have then reduced the problem to the following special case:

**Lemma 2.4.** Suppose a point-like object  $\mathcal{P}^\bullet$  has zero-dimensional support. Then it is isomorphic to some  $k(x)[m]$ .

*Proof.* If  $\text{supp}(\mathcal{P}^\bullet)$  has more than one point, then  $\mathcal{P}^\bullet$  can be written as a direct sum.<sup>a</sup> This contradicts condition (iii), since the projections of  $\mathcal{P}^\bullet$  to its summands aren't invertible.

Now, we can assume that the  $x \in X$  is the support of  $\mathcal{P}^\bullet$ . Let  $m_1$  (resp.  $m_2$ ) be the maximal (resp. minimal) integer such that  $\mathcal{H}^{m_1}(\mathcal{P}^\bullet) \neq 0$  (resp.  $\mathcal{H}^{m_2}(\mathcal{P}^\bullet) \neq 0$ ). Let  $\mathcal{H}^{m_1}(\mathcal{P}^\bullet) \rightarrow \mathcal{H}^{m_2}(\mathcal{P}^\bullet)$  be a nontrivial map, which exists by the following algebraic

**Lemma 2.5.** Let  $M$  be a finite module over a Noetherian local ring  $(A, \mathfrak{m})$  with support  $\{\mathfrak{m}\}$ . Then there exists an injection  $A/\mathfrak{m} \rightarrow M$  and a surjection  $M \rightarrow A/\mathfrak{m}$ .

Indeed, the condition on support means that  $\mathfrak{m} = \text{Ann}(M)$ . Hence an injection  $A/\mathfrak{m} \rightarrow M$  can be induced from any nontrivial map  $A \rightarrow M$ . A surjection  $M \rightarrow A/\mathfrak{m}$  exists since  $M = M/\mathfrak{m}M$  is a finite-dimensional  $A/\mathfrak{m}$ -vector space.

Back to the proof of Lemma 2.4. We obtain (by Lemma 1.1) a nontrivial map by the composition

$$\mathcal{P}^\bullet[m_1] \longrightarrow \mathcal{H}^{m_1}(\mathcal{P}^\bullet) \longrightarrow \mathcal{H}^{m_2}(\mathcal{P}^\bullet) \longrightarrow \mathcal{P}^\bullet[m_2]$$

But  $m_1 \geq m_2$ . So condition (ii) shows that  $m_1 = m_2 = m$ . In other words,  $\mathcal{P}^\bullet = \mathcal{P}[m]$  for some sheaf  $\mathcal{P}$ . Now condition (iii) implies that  $\mathcal{P} = k(x)$ .  $\square$

<sup>a</sup>This was proved in Alex Perry's talk. You can also find the proof in [3, Lemma 3.9].

The proof of Proposition 2.3 is now complete.  $\square$

<sup>4</sup>The *support* of a complex of sheaves is the union of the support of its cohomology sheaves.

### 2.3. Invertible objects in $D^b(X)$ .

**Definition 2.6.** An object  $\mathcal{L}^\bullet \in D^b(X)$  is *invertible* if for any point-like object  $\mathcal{P}^\bullet$ , there exists an integer  $n_{\mathcal{P}^\bullet}$  such that

$$\mathrm{Hom}(\mathcal{L}^\bullet, \mathcal{P}^\bullet[i]) = \begin{cases} k & \text{if } i = n_{\mathcal{P}^\bullet} \\ 0 & \text{if otherwise} \end{cases}$$

**Proposition 2.7.** (i) Every invertible object in  $D^b(X)$  is of the form  $\mathcal{L}[m]$  for some line bundle  $\mathcal{L}$ .  
(ii) If either  $\omega_X$  or  $\omega_X^{-1}$  is ample, then every object of the form  $\mathcal{L}[m]$  is invertible.

*Proof of (i).* Consider an invertible object  $\mathcal{L}^\bullet$  in  $D^b(X)$ . Let  $m_1$  be the largest integer for which  $\mathcal{H}^{m_1}(\mathcal{L}^\bullet)$  is nonzero. Consider any skyscraper sheaf  $k(x)$  with  $x \in \mathrm{supp}(\mathcal{H}^{m_1}(\mathcal{L}^\bullet))$ . Then Corollary 1.2 shows that

$$\mathrm{Hom}(\mathcal{L}^\bullet, k(x)[-m_1]) \cong \mathrm{Hom}(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(x)) \neq 0 \quad (2.1)$$

Hence the constant  $n_{k(x)} = -m_1$ . It follows that  $\mathrm{Hom}(\mathcal{L}^\bullet, k(x)[-m]) = 0$  whenever  $m \neq -m_1$ . In particular,

$$\mathrm{Ext}^{1-m_1}(\mathcal{L}^\bullet, k(x)) = 0.$$

Using the spectral sequence (1.2):

$$E_2^{p,q} = \mathrm{Ext}^p(\mathcal{H}^{-q}(\mathcal{L}^\bullet), k(x)) \implies \mathrm{Ext}^{p+q}(\mathcal{L}^\bullet, k(x))$$

together with  $E_2^{1,-m_1} = E_\infty^{1,-m_1}$  (by looking at  $d^2$ ), we see that

$$E_2^{1,-m_1} = \mathrm{Ext}^1(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(x))$$

is a graded piece in  $\mathrm{Ext}^{1-m_1}(\mathcal{L}^\bullet, k(x))$ , which must then be zero. This shall give us the local freeness of  $\mathcal{H}^{m_1}(\mathcal{L}^\bullet)$  via the algebraic

**Lemma 2.8.** Let  $M$  be a finite module over a Noetherian local ring  $(A, \mathfrak{m})$ . If  $\mathrm{Ext}_A^1(M, A/\mathfrak{m}) = 0$ , then  $M$  is free.

Let  $k = A/\mathfrak{m}$ . Use Nakayama lemma to lift a  $k$ -basis of  $M/\mathfrak{m}M$  to generators of  $M$ . We then obtain an exact sequence of  $A$ -modules:

$$0 \longrightarrow N \xrightarrow{\varphi} A^{\oplus n} \longrightarrow M \longrightarrow 0$$

where  $N$  is a finite  $A$ -module, and  $\varphi$  induces the zero map  $\tilde{\varphi} : N/\mathfrak{m}N \rightarrow k^{\oplus n}$ . The vanishing of  $\mathrm{Ext}_A^1(M, A/\mathfrak{m})$ , on the other hand, shows that  $\varphi^*$  in the following diagram

$$\begin{array}{ccc} \mathrm{Hom}_A(A^{\oplus n}, k) & \xrightarrow{\varphi^*} & \mathrm{Hom}_A(N, k) \\ \parallel & & \parallel \\ \mathrm{Hom}_k(k^{\oplus n}, k) & \xrightarrow{\tilde{\varphi}^*} & \mathrm{Hom}_k(N/\mathfrak{m}N, k) \end{array}$$

is surjective. This cannot happen unless  $N/\mathfrak{m}N = 0$ . Thus  $N = 0$  by Nakayama, and  $M$  is free.

However, we cannot conclude the local freeness of  $\mathcal{H}^{m_1}(\mathcal{L}^\bullet)$  yet—we have vanishing of the global Ext, but the lemma requires vanishing of the local Ext. To apply the lemma, we appeal to the local-to-global spectral sequence

$$E_2^{p,q} = H^p(X, \mathcal{E}xt^q(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(x))) \implies \mathrm{Ext}^{p+q}(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(x))$$

Again,  $E_2^{0,1} = E_\infty^{0,1}$ , which is a graded piece of  $\mathrm{Ext}^1(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(x))$ . Hence

$$H^0(X, \mathcal{E}xt^1(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(x))) = 0.$$

Since  $\mathcal{E}xt^1(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(x))$  is concentrated at  $x \in X$ ,<sup>5</sup> it must vanish. The algebraic lemma then implies that  $\mathcal{H}^{m_1}(\mathcal{L}^\bullet)$  is locally free. In particular, its support is all of  $X$ .

**Claim.**  $\mathcal{H}^{m_1}(\mathcal{L}^\bullet)$  is a line bundle

<sup>5</sup>For finite modules over Noetherian schemes,  $\mathcal{E}xt$  commutes with localization.

For any  $y \in X$ , (2.1) applies, and we get

$$k \cong \mathrm{Hom}(\mathcal{L}^\bullet, k(y)[-m_1]) \cong \mathrm{Hom}(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(y))$$

so  $\mathcal{H}^{m_1}(\mathcal{L}^\bullet)$  has rank 1.

**Claim.**  $\mathcal{H}^m(\mathcal{L}^\bullet) = 0$  for  $m < m_1$ .

By (descending) induction, we may assume  $\mathcal{H}^{m+1}(\mathcal{L}^\bullet) = \mathcal{H}^{m+2}(\mathcal{L}^\bullet) = \dots = \mathcal{H}^{m_1-1}(\mathcal{L}^\bullet) = 0$ . It suffices to show the vanishing of  $\mathrm{Hom}(\mathcal{H}^m(\mathcal{L}^\bullet), k(x))$  for all  $x \in X$ . Note that this is the  $E_2^{0,-m}$ -term in the spectral sequence (1.2):

$$E_2^{p,q} = \mathrm{Ext}^p(\mathcal{H}^{-q}(\mathcal{L}^\bullet), k(x)) \implies \mathrm{Ext}^{p+q}(\mathcal{L}^\bullet, k(x)).$$

Note that  $d^2$  on this term vanishes because its codomain is

$$E_2^{2,-m-1} = \mathrm{Ext}^2(\mathcal{H}^{m+1}(\mathcal{L}^\bullet), k(x))$$

which is zero:

- (i) if  $m = m_1 - 1$ , it is zero because  $\mathrm{Ext}^2(\mathcal{H}^{m_1}(\mathcal{L}^\bullet), k(x)) \cong H^2(X, \mathcal{H}^{m_1}(\mathcal{L}^\bullet)^\vee \otimes k(x)) = 0$  using local freeness of  $\mathcal{H}^{m_1}(\mathcal{L}^\bullet)$ ,
- (ii) if  $m < m_1 - 1$ , it is zero by induction hypothesis.

Altogether,  $E_2^{0,-m} = E_\infty^{0,-m}$ , a graded piece of  $\mathrm{Ext}^{-m}(\mathcal{L}^\bullet, k(x)) \cong \mathrm{Hom}(\mathcal{L}^\bullet, k(x)[-m])$ , which vanishes by hypothesis.

It follows that  $\mathcal{L} \cong \mathcal{H}^{m_1}(\mathcal{L}^\bullet)[-m_1]$ . □

*Proof of (ii).* Consider  $\mathcal{L}[m]$  for some line bundle  $\mathcal{L}$ . By Proposition 2.3, any point-like object in  $D^b(X)$  is isomorphic to some  $k(x)[m']$ . Checking that  $\mathcal{L}[m]$  is an invertible object boils down to a computation:

$$\begin{aligned} \mathrm{Hom}(\mathcal{L}[m], k(x)[i+m']) &\cong \mathrm{Hom}(\mathcal{L}, k(x)[i+m'-m]) \\ &\cong H^{i+m'-m}(X, \mathcal{L}^\vee \otimes k(x)) \\ &= 0 \end{aligned}$$

unless  $i = m - m'$ , and it is isomorphic  $k$  when  $i = m - m'$ . □

**2.4. The reconstruction.** Let  $F : D^b(X) \rightarrow D^b(Y)$  be an exact equivalence. Assume that either  $\omega_X$  or  $\omega_X^{-1}$  is ample. Propositions 2.3 and 2.7 establish the following<sup>6</sup>:

$$\begin{array}{ccc} \{\text{point-like objects in } D^b(X)\} & \xleftarrow{F} & \{\text{point-like objects in } D^b(Y)\} \\ \parallel & & \uparrow \\ \{k(x)[m]\} & & \{k(y)[m]\} \end{array}$$

and

$$\begin{array}{ccc} \{\text{invertible objects in } D^b(X)\} & \xleftarrow{F} & \{\text{invertible objects in } D^b(Y)\} \\ \parallel & & \downarrow \\ \{\mathcal{L}[m]\} & & \{\mathcal{M}[m]\} \end{array}$$

*Proof of Theorem 2.1.* We will proceed by reconstructing  $Y$  step by step from its derived category.

**Reduction.** We may assume  $F(\mathcal{O}_X) \cong \mathcal{O}_Y$ .

Indeed,  $F(\mathcal{O}_X) \cong \mathcal{M}[m]$  for some line bundle  $\mathcal{M}$  on  $Y$ . Compose  $F$  by the exact equivalences  $[-m]$  and  $\mathcal{M}^\vee \otimes -$ , we obtain a new exact equivalence sending  $\mathcal{O}_X$  to  $\mathcal{O}_Y$ .

**Claim.** *Point-like objects in  $D^b(Y)$  are of the form  $k(y)[m]$ .*

<sup>6</sup>These pretty diagrams (just like everything else in these notes!) are taken from [3].

Let  $\mathcal{P}^\bullet$  be a point-like object in  $D^b(Y)$  which is not of the form  $k(y)[m]$  for any  $y \in Y$  and  $m \in \mathbb{Z}$ . We first show that it is orthogonal to every  $k(y)[m]$ . Indeed, say  $\mathcal{P}^\bullet \cong F(k(x_0)[m_0])$  and  $k(y) \cong F(k(x_y)[m_y])$ . Then  $x_0 \neq x_y$ . So

$$\begin{aligned} \mathrm{Hom}(\mathcal{P}^\bullet, k(y)[m]) &\cong \mathrm{Hom}(k(x_0)[m_0], k(x_y)[m_y + m]) \\ &\cong 0 \end{aligned}$$

since the two complexes have distinct supports.

Now, we will show that  $\mathcal{P}^\bullet$  by exhibiting some  $k(y)[m]$  for which

$$\mathrm{Hom}(\mathcal{P}^\bullet, k(y)[m]) = \mathrm{Ext}^m(\mathcal{P}^\bullet, k(y))$$

is non-vanishing. Indeed, let  $m_1$  be the largest number such that  $\mathcal{H}^{m_1}(\mathcal{P}^\bullet)$  is nonzero, and let  $y$  be in the support of  $\mathcal{H}^{m_1}(\mathcal{P}^\bullet)$ . Consider the spectral sequence (1.2):

$$E_2^{p,q} = \mathrm{Ext}^p(\mathcal{H}^{-q}(\mathcal{P}^\bullet), k(y)) \implies \mathrm{Ext}^{p+q}(\mathcal{P}^\bullet, k(y)).$$

Since  $E_2^{0,-m_1} = H^0(\mathcal{H}^{m_1}(\mathcal{P}^\bullet), k(y))$  receives differentials from negative Ext-groups and sends elements to  $\mathrm{Ext}^2(\mathcal{H}^{m_1+1}(\mathcal{P}^\bullet), k(y)) = 0$ , we have  $E_2^{0,-m_1} = E_\infty^{0,-m_1}$ . Hence  $\mathrm{Ext}^{-m_1}(\mathcal{P}^\bullet, k(y))$  is nonzero.<sup>a</sup>

<sup>a</sup>This part of the argument actually shows that the skyscraper sheaves form a ‘spanning class’ of  $D^b(Y)$ . See [3, §3.2].

**Claim.** (i) For each  $x \in X$ , there is some  $y \in Y$  with  $F(k(x)) \cong k(y)$ .

(ii)  $\dim(X) = \dim(Y)$ .

(iii) For each integer  $k$ , there holds  $F(\omega_X^k) \cong \omega_Y^k$ .

Say  $F(k(x)) \cong k(y)[m]$ . We want to show  $m = 0$ . Indeed,

$$H^m(Y, k(y)) \cong \mathrm{Hom}(\mathcal{O}_Y, k(y)[m]) \cong \mathrm{Hom}(\mathcal{O}_X, k(x)) \cong k$$

so  $m$  has to be zero.

Let  $x, y$  be as in part (i). The second part follows from the commutation of  $F$  with Serre functors (Lemma 1.9):

$$k(y)[\dim X] \cong F(k(x)[\dim X]) \cong F(S_X(k(x))) \cong S_Y(F(k(x))) \cong k(y)[\dim Y]$$

But this could only happen when  $\dim X = \dim Y$ .

Now, let  $n = \dim X = \dim Y$ . For every integer  $k$ ,

$$F(\omega_X^k) \cong F(S_X^k(\mathcal{O}_X)[-kn]) \cong S_Y^k(F(\mathcal{O}_X))[-kn] \cong S_Y^k(\mathcal{O}_Y)[-kn] \cong \omega_Y^k.$$

This established part (iii).

**Claim.** If  $\omega_X$  is ample (or anti-ample), so is  $\omega_Y$ .

Suppose  $\omega_X^k$  is very ample. We need to show that the same holds for  $\omega_Y^k$ . Recall that a line bundle  $\mathcal{L}$  on  $X$  is very ample if

(i) it separates points, i.e.

$$H^0(X, \mathcal{L}) \rightarrow H^0(X, k(x_1) \oplus k(x_2))$$

is surjective for all  $x_1 \neq x_2$  in  $X$ .

(ii) it separates tangent vectors, i.e.

$$H^0(X, \mathcal{L}) \rightarrow H^0(X, \mathcal{O}_{Z_x})$$

is surjective when  $\mathcal{O}_{Z_x}$  is a nontrivial extension of  $k(x)$  by  $k(x)$ , for all  $x \in X$ .

Both properties are captured by the derived category. For (i), use the commutative diagram

$$\begin{array}{ccc} H^0(X, \mathcal{L}) & \longrightarrow & H^0(X, k(x_1) \oplus k(x_2)) \\ \parallel & & \parallel \\ \mathrm{Hom}(\mathcal{O}_X, \mathcal{L}) & \longrightarrow & \mathrm{Hom}(\mathcal{O}_X, k(x_1) \oplus k(x_2)) \end{array}$$



and the fact that the lower row is isomorphic to  $\mathrm{Hom}(\mathcal{O}_Y, F(\mathcal{L})) \rightarrow \mathrm{Hom}(\mathcal{O}_Y, k(y_1) \oplus k(y_2))$ . For (ii), use the fact that  $\mathcal{O}_{Z_x}$  corresponds to a nonzero extension class  $e \in \mathrm{Hom}(k(x), k(x)[1])$ .

We have established an isomorphism of graded vector spaces:

$$\bigoplus_{k \in \mathbb{Z}} H^0(X, \omega_X^k) \xrightarrow{\sim} \bigoplus_{k \in \mathbb{Z}} H^0(Y, \omega_Y^k)$$

This isomorphism preserves the ring structure, since for sections

$$s_i \in H^0(X, \omega_X^{k_i}) \cong \mathrm{Hom}(\mathcal{O}_X, \omega_X^{k_i}), \quad (i = 1, 2)$$

we have  $s_1 \cdot s_2 = S_X^{k_1}(s_2)[-k_1 n] \circ s_1$ . To see why this equality holds, simply note that  $S_X^{k_1}(s_2)[-k_1 n] = \mathrm{id}_{\omega_X^{k_1}} \otimes s_2$ , and the following diagram commutes:

$$\begin{array}{ccc} \mathcal{O}_X & \xrightarrow{s_1} & \omega_X^{k_1} \\ & \searrow^{s_1 \cdot s_2} & \downarrow \mathrm{id}_{\omega_X^{k_1}} \otimes s_2 \\ & & \omega_X^{k_1+k_2} \end{array}$$

Now, by ampleness (or anti-ampleness) of both  $\omega_X$  and  $\omega_Y$ ,

$$X \cong \mathrm{Proj} \left( \bigoplus H^0(X, \omega_X^k) \right), \quad Y \cong \mathrm{Proj} \left( \bigoplus H^0(Y, \omega_Y^k) \right)$$

and we have  $X \cong Y$ . □

#### REFERENCES

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