

Three Descriptions of the Cohomology of $\text{Bun}_G(X)$ (Lecture 4)

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Let k be an algebraically closed field, let X be an algebraic curve over k (always assumed to be smooth and complete), and let G be a smooth affine group scheme over X . We let $\text{Bun}_G(X)$ denote the moduli stack of G -bundles on X (denoted by Bun_G in the previous lecture), and let ℓ denote a prime number which is invertible in k . Our main goal in this course is to give a convenient description of the ℓ -adic cohomology ring $\text{H}^*(\text{Bun}_G(X); \mathbf{Q}_\ell)$. In the special case where G and X are defined over some finite field \mathbf{F}_q , this will allow us to compute the trace of φ^{-1} on $\text{H}^*(\text{Bun}_G(X); \mathbf{Q}_\ell)$ (where φ denotes the geometric Frobenius morphism from $\text{Bun}_G(X)$ to itself), which we will use to prove Weil's conjecture following the outline provided in the last lecture. However, the problem of describing $\text{H}^*(\text{Bun}_G(X); \mathbf{Q}_\ell)$ makes sense over an *arbitrary* algebraically closed field k . In this lecture, we will specialize to the case $k = \mathbf{C}$ and describe several topological approaches to the problem (which we will later adapt to the setting of algebraic geometry). In this case, we do not need to work ℓ -adically: the algebraic stack $\text{Bun}_G(X)$ has a well-defined homotopy type (namely, the homotopy type of the associated analytic stack). We will abuse notation by identifying X with the compact Riemann surface $X(\mathbf{C})$.

To simplify the discussion, let us assume that the group scheme G is semisimple and simply connected at each point. Fix a G -bundle \mathcal{P}_{sm} in the category of smooth manifolds. The tangent bundle of \mathcal{P}_{sm} is a G -equivariant vector bundle on \mathcal{P}_{sm} , and can therefore be written the pullback of a smooth vector bundle \mathcal{E} on X . This vector bundle fits into an exact sequence

$$0 \rightarrow \mathcal{E}_0 \rightarrow \mathcal{E} \rightarrow T_X \rightarrow 0, \tag{1}$$

where \mathcal{E}_0 denotes the vector bundle associated by \mathcal{P}_{sm} to the adjoint representation of G . In particular, we can regard \mathcal{E}_0 as a complex vector bundle on X . A $\bar{\partial}$ -connection on \mathcal{P}_{sm} is a choice of complex structure on the vector bundle \mathcal{E} for which (1) is an exact sequence of *complex* vector bundles on X . Let Ω denote the collection of all $\bar{\partial}$ -connections on X . Then Ω can be regarded as a torsor for the infinite-dimensional vector space of \mathbf{C} -antilinear bundle maps from T_X into \mathcal{E}_0 : in particular, it is an infinite-dimensional affine space, and therefore contractible.

Proposition 1. *Let $\mathcal{G} = \text{Aut}(\mathcal{P}_{\text{sm}})$ denote the group of all automorphisms of the smooth G -bundle \mathcal{P}_{sm} . Then the moduli stack $\text{Bun}_G(X)$ can be identified, as a differentiable stack, with the quotient of the contractible space Ω by the action of the \mathcal{G} . In particular, $\text{Bun}_G(X)$ has the homotopy type of the classifying space $\text{B}\mathcal{G}$.*

Sketch. Every G -bundle $\rho : \mathcal{P} \rightarrow X$ is a fiber bundle with simply connected fibers, and is therefore trivial in the category of smooth G -bundles (since X is a real manifold of dimension 2). In particular, for every complex-analytic G -bundle \mathcal{P} on X , we can choose an isomorphism of smooth G -bundles $\alpha : \mathcal{P}_{\text{sm}} \rightarrow \mathcal{P}$. We can identify isomorphism classes of pairs (\mathcal{P}, α) with complex-analytic structures on the bundle \mathcal{P}_{sm} ; since X has dimension ≤ 1 , these are in bijection with points of Ω . Then \mathcal{G} acts on the space Ω , and the homotopy quotient of Ω by \mathcal{G} classifies complex-analytic G -bundles on X . Since X is a projective algebraic variety, the category of complex-analytic G -bundles on X is equivalent to the category of algebraic vector bundles on X . (For a related discussion, see [1].) \square

Remark 2. The argument we sketched above really proves that the groupoid $\text{Bun}_G(X)(\mathbf{C})$ of \mathbf{C} -valued points of $\text{Bun}_G(X)$ can be identified with the groupoid quotient of Ω (regarded as a set) by \mathcal{G} (regarded as a

discrete group). To formulate a stronger claim, we would need to be more precise about the procedure which associates a homotopy type to an algebraic stack over \mathbf{C} . A reader who is concerned with this technical point should feel free to take Principle 1 as a *definition* of the homotopy type of $\text{Bun}_G(X)$.

Warning 3. The validity of Principle 1 relies crucially on the fact that X is an algebraic curve. If X is a smooth projective variety of higher dimension, then smooth G -bundles on X need not be trivial, and $\bar{\partial}$ -connections on a smooth G -bundle \mathcal{P}_{sm} need not be integrable. Consequently, the homotopy type of $\text{Bun}_G(X)$ is not so easy to describe.

Note that since the G -bundle \mathcal{P}_{sm} is trivial, we can identify the gauge group \mathcal{G} with the space of all smooth sections of the projection map $G \rightarrow X$. We would like to use this information to describe the homotopy type of the classifying space of $\text{B}\mathcal{G}$ in terms of the individual classifying spaces $\{\text{B}G_x\}_{x \in X}$. We next outline three approaches to this problem: the first allows us to express $\text{H}^*(\text{B}\mathcal{G}; \mathbf{Q})$ as the cohomology of a certain differential graded Lie algebra (Theorem 4), while the remaining two express $\text{H}^*(\text{B}\mathcal{G}; \mathbf{Q})$ and $\text{H}_*(\text{B}\mathcal{G}; \mathbf{Q})$ as the homology of certain factorization algebras on X (Theorems 9 and 13).

1 First Approach: Rational Homotopy Theory

Let H be a path-connected topological group. Then the homology $\text{H}_*(H; \mathbf{Q})$ has the structure of a commutative Hopf algebra: the multiplication on $\text{H}_*(H; \mathbf{Q})$ is given by pushforward along the product map $H \times H \rightarrow H$, and the comultiplication on $\text{H}_*(H; \mathbf{Q})$ is given by pushforward along the diagonal map $\delta : H \rightarrow H \times H$. With more effort, one can construct an analogue of this Hopf algebra structure at the level of chains, rather than homology. More precisely, Quillen's work on rational homotopy theory gives a functorial procedure for associating to each topological group H a differential graded Lie algebra $\mathfrak{g}(H)$ (defined over the field of rational numbers) with the following properties:

- (a) Let $\text{H}_*(\mathfrak{g}(H))$ denote the homology groups of the underlying chain complex of $\mathfrak{g}(H)$. Then we have a canonical isomorphism

$$\mathbf{Q} \otimes \pi_* H \simeq \text{H}_*(\mathfrak{g}(H)).$$

Under this isomorphism, the Whitehead product on $\pi_{*+1} \text{BH} \simeq \pi_* H$ corresponds to the Lie bracket on $\text{H}_*(\mathfrak{g}(H))$.

- (b) The singular chain complex $C_*(H; \mathbf{Q})$ is canonically quasi-isomorphic to the universal enveloping algebra $U(\mathfrak{g}(H))$. This quasi-isomorphism induces a Hopf algebra isomorphism

$$\text{H}_*(U(\mathfrak{g}(H))) \simeq \text{H}_*(H; \mathbf{Q}).$$

- (c) The differential graded Lie algebra $\mathfrak{g}(H)$ is a complete invariant of the rational homotopy type of the classifying space BH . More precisely, from $\mathfrak{g}(H)$ one can functorially construct a pointed topological space Z for which there exists a pointed map $\text{BH} \rightarrow Z$ which induces an isomorphism on rational cohomology. In particular, the cohomology ring $\text{H}^*(\text{BH}; \mathbf{Q})$ can be functorially recovered as the *Lie algebra cohomology* of $\mathfrak{g}(H)$.

Let us now apply the above reasoning to our situation. For every open subset $U \subseteq X$, let \mathcal{G}_U denote the (topological) group of all smooth sections of the projection map $G \times_X U \rightarrow U$, and let $\mathfrak{g}(\mathcal{G}_U)$ be the associated differential graded Lie algebras. The construction $U \mapsto \mathfrak{g}(\mathcal{G}_U)$ is contravariantly functorial in U . For each integer n , let \mathcal{F}_n denote the presheaf of rational vector spaces on X given by $\mathcal{F}_n(U) = \mathfrak{g}(\mathcal{G}_U)_n$, and let $\bar{\mathcal{F}}_n$ be the associated sheaf. Ignoring the Lie algebra structures on the differential graded Lie algebras $\mathfrak{g}(\mathcal{G}_U)$ and remember only the underlying chain complexes, we obtain a chain complex of presheaves

$$\cdots \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_0 \rightarrow \mathcal{F}_{-1} \rightarrow \mathcal{F}_{-2} \rightarrow \cdots,$$

hence a chain complex of sheaves

$$\cdots \rightarrow \overline{\mathcal{F}}_2 \rightarrow \overline{\mathcal{F}}_1 \rightarrow \overline{\mathcal{F}}_0 \rightarrow \overline{\mathcal{F}}_{-1} \rightarrow \overline{\mathcal{F}}_{-2} \rightarrow \cdots .$$

In this language, we can formulate a local-to-global principle as follows:

Theorem 4. *The canonical map*

$$\mathfrak{g}(\mathcal{G}) = \Gamma(X; \mathcal{F}_*) \rightarrow \Gamma(X; \overline{\mathcal{F}}_*) \rightarrow R\Gamma(X; \overline{\mathcal{F}}_*)$$

is a quasi-isomorphism of differential graded Lie algebras. In other words, the cohomology groups of the differential graded Lie algebra $\mathfrak{g}(\mathcal{G})$ can be identified with the hypercohomology groups of the chain complex $\overline{\mathcal{F}}_$ of sheaves on X .*

Proof. This follows from the compatibility of the construction $H \mapsto \mathfrak{g}(H)$ with (suitable) homotopy inverse limits. \square

Remark 5. Fix a point $x \in X$. If $U \subseteq X$ is an open disk containing x , then evaluation at x induces a homotopy equivalence of topological groups $\mathcal{G}_U \rightarrow G_x$. Passing to the direct limit, we obtain a quasi-isomorphism of chain complexes $\mathcal{F}_{*,x} \simeq \overline{\mathcal{F}}_{*,x} \rightarrow \mathfrak{g}(G_x)$. In particular, the n th homology of the complex $\overline{\mathcal{F}}_*$ is a locally constant sheaf on X . Theorem 4 then supplies a convergent spectral sequence

$$H^s(X; \mathbf{Q} \otimes \pi_t(G_\bullet)) \Rightarrow \mathbf{Q} \otimes \pi_{t-s} \mathcal{G},$$

where $\mathbf{Q} \otimes \pi_t(G_\bullet)$ denotes the local system of rational vector spaces on X given by $x \mapsto \mathbf{Q} \otimes \pi_t(G_x)$.

Example 6 (Atiyah-Bott). Suppose that G is constant: that is, it is the product of X with a simply connected semisimple algebraic group G_0 over \mathbf{C} . In this case, the chain complex $\overline{\mathcal{F}}_*$ is quasi-isomorphic to the chain complex of constant sheaves with value $\mathfrak{g}(G_0)$. In this case, Theorem 4 supplies a quasi-isomorphism

$$\mathfrak{g}(\mathcal{G}) \simeq C^*(X; \mathbf{Q}) \otimes_{\mathbf{Q}} \mathfrak{g}(G_0)$$

The rational cohomology of the classifying space BG_0 is isomorphic to a polynomial ring $\mathbf{Q}[t_1, \dots, t_r]$, where r is the rank of the semisimple algebraic group G_0 and each t_i is a homogeneous element of $H^*(BG_0; \mathbf{Q})$ of some even degree e_i . From this, one can deduce that the differential graded Lie algebra $\mathfrak{g}(G_0)$ is *formal*: that is, it is quasi-isomorphic to a graded vector space V on generators t_i^\vee of (homological) degree $e_i - 1$, where the differential and the Lie bracket vanish. It follows that $\mathfrak{g}(\mathcal{G})$ is quasi-isomorphic to the tensor product $H^*(X; \mathbf{Q}) \otimes_{\mathbf{Q}} V$, where the differential and Lie bracket vanish. From this, one can deduce that $H^*(\text{Bun}_G(X); \mathbf{Q})$ is isomorphic to a (graded) symmetric algebra on the graded vector space $H_*(X; \mathbf{Q}) \otimes_{\mathbf{Q}} V^\vee[-1]$. In other words, $H^*(\mathcal{M}; \mathbf{Q})$ is a tensor product of a polynomial ring on $2r$ generators in even degrees with an exterior algebra on $2gr$ generators in odd degrees. We refer the reader to [1] for more details.

2 Second Approach: Factorization Homology

Theorem 4 asserts that the differential graded Lie algebra $\mathfrak{g}(\mathcal{G})$ can be recovered as the hypercohomology of a “local system” of differential graded Lie algebras given by $x \mapsto \mathfrak{g}(G_x)$. Roughly speaking, this reflects the idea that the gauge group \mathcal{G} can be identified with a “continuous product” of the groups G_x , and that the construction $H \mapsto \mathfrak{g}(H)$ is compatible with “continuous products” (at least in good cases).

Our ultimate goal is to formulate a local-to-global principle which will allow us to compute the rational cohomology ring $H^*(\text{Bun}_G(X); \mathbf{Q}) \simeq H^*(B\mathcal{G}; \mathbf{Q})$. It is possible to formulate such a principle directly, without making a detour through the theory of differential graded Lie algebras. However, the basic mechanism of the local-to-global principle takes a more complicated form.

Definition 7. For each open set $U \subseteq X$, let $\mathcal{B}(U)$ denote the rational cochain complex $C^*(B\mathcal{G}_U; \mathbf{Q})$. Then the construction $U \mapsto \mathcal{B}(U)$ determines a covariant functor from the partially ordered set of open subsets of X to the category of chain complexes of rational vector spaces.

Let \mathcal{U} denote the collection of all open subsets of X which can be written as a disjoint union of disks. We let $\int \mathcal{B}$ denote a homotopy colimit of the diagram $\{\mathcal{B}(U)\}_{U \in \mathcal{U}}$ (in the category of chain complexes of rational vector spaces). We refer to the homology of the chain complex $\int \mathcal{B}$ as the *factorization homology* of \mathcal{B} .

Example 8. Suppose that $U \subseteq X$ is an open set which can be written as a disjoint union $U_1 \cup \cdots \cup U_n$, where each U_i is an open disk. Choose a point $x_i \in U_i$ for $1 \leq i \leq n$. Then \mathcal{G}_U is homeomorphic to a product $\prod_{1 \leq i \leq n} \mathcal{G}_{U_i}$, and evaluation at the points x_i determine homotopy equivalences $\mathcal{G}_{U_i} \rightarrow G_{x_i}$. Consequently, there is a canonical quasi-isomorphism of chain complexes

$$\begin{aligned} \bigotimes_{1 \leq i \leq n} C^*(BG_{x_i}; \mathbf{Q}) &\xrightarrow{\sim} \bigotimes_{1 \leq i \leq n} C^*(B\mathcal{G}_{U_i}; \mathbf{Q}) \\ &\xrightarrow{\sim} C^*(B\mathcal{G}_U; \mathbf{Q}) \\ &= \mathcal{B}(U). \end{aligned}$$

In other words, each term in the diagram $\{\mathcal{B}(U)\}_{U \in \mathcal{U}}$ can be identified with a tensor product

$$\bigotimes_{x \in S} C^*(BG_x; \mathbf{Q}),$$

where S is some finite subset of X . We can therefore think of the factorization homology $\int \mathcal{B}$ as a kind of continuous tensor product $\bigotimes_{x \in X} C^*(BG_x; \mathbf{Q})$. We refer the reader to [3] for more details.

We can now formulate a second local-to-global principle for describing the cohomology of $\text{Bun}_G(X)$:

Theorem 9. *If the fibers of G are semisimple and simply connected, then the canonical map*

$$\int \mathcal{B} = \text{hocolim}_{U \in \mathcal{U}} \mathcal{B}(U) \rightarrow \mathcal{B}(X) = C^*(B\mathcal{G}; \mathbf{Q}) = C^*(\text{Bun}_G(X); \mathbf{Q})$$

is a quasi-isomorphism. In other words, we can identify the cohomology of the moduli stack $\text{Bun}_G(X)$ with the factorization homology of \mathcal{B} .

3 Third Approach: Nonabelian Poincare Duality

The local-to-global principle expressed by Theorem 9 is based on the idea of approximating the moduli stack $\text{Bun}_G(X) \simeq B\mathcal{G}$ “from the right”. For any finite set $S \subseteq X$, evaluation at the points of S defines a map of classifying spaces

$$B\mathcal{G} \rightarrow \prod_{x \in S} BG_x,$$

hence a map of cochain complexes

$$\mu_S : \bigotimes_{x \in S} C^*(BG_x; \mathbf{Q}) \rightarrow C^*(B\mathcal{G}; \mathbf{Q}).$$

Roughly speaking, Theorem 9 asserts that if we allow S to vary continuously over all finite subsets of X , then we can use these maps to recover the chain complex $C^*(\text{Bun}_G(X); \mathbf{Q})$ up to quasi-isomorphism. We now explore an parallel approach, which is based on the idea of realizing $B\mathcal{G}$ as direct limit, rather than an inverse limit.

Notation 10. For each open set $U \subseteq X$, let \mathcal{G}_U^c denote the subgroup of \mathcal{G} consisting of those automorphisms of \mathcal{P}_{sm} which are the identity outside of a compact subset of U , and let $\mathcal{A}(U)$ denote the chain complex $C_*(\text{B}\mathcal{G}_U^c; \mathbf{Q})$. Note that $\mathcal{G}_U^c \subseteq \mathcal{G}_V^c$ whenever $U \subseteq V$, so that we can regard the construction $U \mapsto \mathcal{A}(U)$ as a covariant functor from the partially ordered set of open subsets of X to the category of chain complexes.

Let \mathcal{U} denote the collection of all open subsets of X which can be written as a disjoint union of disks. We let $\int \mathcal{A}$ denote a homotopy colimit of the diagram $\{\mathcal{A}(U)\}_{U \in \mathcal{U}}$ (in the category of chain complexes of rational vector spaces). We refer to the homology of the chain complex $\int \mathcal{A}$ as the *factorization homology* of \mathcal{A} .

Example 11. Let $U \subseteq X$ be an open disk containing a point $x \in X$. The $U \times_X G$ is diffeomorphic to a product $U \times G_x$, so that that \mathcal{G}_U^c can be identified with the space of compactly supported maps from U into G_x . A choice of homeomorphism $U \simeq \mathbb{R}^2$ then determines a homotopy equivalence of \mathcal{G}_U^c with the two-fold loop space $\Omega^2(G_x)$, so that $\text{B}\mathcal{G}_U^c$ can be identified with $\Omega^2(\text{B}G_x) \simeq \Omega(G_x)$.

More generally, if U can be written as a disjoint union of disks $U_1 \cup \dots \cup U_n$ containing points $x_i \in U_i$, then \mathcal{G}_U^c is homeomorphic to a product $\prod_{1 \leq i \leq n} \mathcal{G}_{U_i}^c$, so we obtain a quasi-isomorphism of chain complexes

$$\begin{aligned} \bigotimes_{1 \leq i \leq n} C_*(\Omega^2 \text{B}G_{x_i}; \mathbf{Q}) &\simeq \bigotimes_{1 \leq i \leq n} C_*(\text{B}\mathcal{G}_{U_i}^c; \mathbf{Q}) \\ &\simeq C_*(\text{B}\mathcal{G}_U^c; \mathbf{Q}) \\ &= \mathcal{A}(U). \end{aligned}$$

In other words, each term in the diagram $\{\mathcal{A}(U)\}_{U \in \mathcal{U}}$ can be identified with a tensor product

$$\bigotimes_{x \in S} C_*(\Omega^2(\text{B}G_x); \mathbf{Q}),$$

where S is some finite subset of X . We can therefore think of the factorization homology $\int \mathcal{A}$ as a kind of continuous tensor product $\bigotimes_{x \in X} C_*(\Omega^2(\text{B}G_x); \mathbf{Q})$.

Remark 12. The double loop space $\Omega^2(\text{B}G_x)$ is homotopy equivalent to quotient $G(K_x)/G(\mathcal{O}_x)$, where \mathcal{O}_x denotes the completed local ring of X at x , and K_x denotes its fraction field. We will denote this quotient by Gr_G^x and refer to it as the *affine Grassmannian* of the group G at the point x . This paper depends crucially on the fact that Gr_G^x admits an algebro-geometric incarnation (as the direct limit of a sequence of algebraic varieties) and can be defined over ground fields different from \mathbf{C} .

We have the following analogue of Theorem 9:

Theorem 13 (Nonabelian Poincaré Duality). *The canonical map*

$$\int \mathcal{A} = \text{hocolim}_{U \in \mathcal{U}} \mathcal{A}(U) \rightarrow \mathcal{A}(X) = C_*(\text{B}\mathcal{G}; \mathbf{Q}) = C_*(\text{Bun}_G(X); \mathbf{Q})$$

is a quasi-isomorphism. In other words, we can identify the homology of the moduli stack $\text{Bun}_G(X)$ with the factorization homology of \mathcal{A} .

Remark 14. Theorem 13 can be regarded as version of Poincaré duality for the manifold X with coefficients in the nonabelian group G . We will explain this idea in more detail in §??.

Let us now outline the relationship between Theorems 4, 9, and 13.

- Theorem 4 is the weakest of the three results. It only gives information about the rational homotopy type of the moduli stack $\text{Bun}_G(X)$, while Theorems 9 and 13 remain valid with integral coefficients. In fact, Theorem 13 is even true at the “unstable” level: that is, it gives a procedure for reconstructing the space $\text{B}\mathcal{G}$ itself, rather than just the singular chain complex of $\text{B}\mathcal{G}$. However, Theorem 4 gives information in a form which is most amenable to further calculation, since it articulates a local-to-global principle using the familiar language of sheaf cohomology, rather than the comparatively exotic language of factorization homology.

- Theorem 13 can also be regarded as the strongest of the three results because it requires the weakest hypotheses: if it is formulated correctly, we only need to assume that the fibers of the map $G \rightarrow X$ are connected, rather than simply connected.
- Theorems 13 and 9 can be regarded as duals of one another. More precisely, the construction $x \mapsto C^*(BG_x; \mathbf{Q})$ determines a factorization algebra on X which is *Koszul dual* to the factorization algebra $x \mapsto C_*(\Omega^2 BG_x; \mathbf{Q})$. Using this duality, one can construct a duality pairing between the chain complexes $\int \mathcal{A}$ and $\int \mathcal{B}$, which identifies each with the \mathbf{Q} -linear dual of the other (under the assumption that the fibers of G are simply connected).
- Theorems 4 and 9 can also be regarded as duals of one another, but in a different sense. Namely, each of the differential graded Lie algebras $\mathfrak{g}(G_x)$ can be regarded as the Koszul dual of $C^*(BG_x; \mathbf{Q})$, which we regard as an E_∞ -algebra over \mathbf{Q} . One can exploit this to deduce Theorem 4 from Theorem 9 and vice versa.

We can now outline the contents of this course. Our first step will be to formulate and prove an ℓ -adic version of Proposition 13, which is valid over an arbitrary algebraically closed ground field k . The proof is based on a calculation of the homology of the “space” of generic trivializations of an arbitrary G -bundle \mathcal{P} . Our next step will be to give a precise articulation of duality between Propositions 9 and 13. The basic mechanism is a local duality calculation which allows us to recover the ℓ -adic cohomology of a classifying space BG_x from the ℓ -adic cohomology of $\Omega^2 BG_x$ (which arises in its algebro-geometric incarnation as the *affine Grassmannian* of the group G). We will then use this result to deduce an ℓ -adic version of Proposition 4. Combining this with the usual Grothendieck-Lefschetz trace formula, this supplies information about the action of Frobenius on the differential graded Lie algebra $\mathfrak{g}_*(\mathcal{M})$, which will in turn yield the desired information about the action of Frobenius on $H^*(\text{Bun}_G(X); \mathbf{Q}_\ell)$.

Many steps in the preceding outline will require us to carry out sophisticated chain-level constructions which are difficult to describe using the language of classical homological algebra. In the next lecture, we give a brief introduction to the theory of ∞ -categories, which provides a more convenient formalism for our purposes.

References

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