

The class polynomial  $H_K$  of a quadratic imaginary field  
 $p$ -adic method for computing  $H_K$   
A  $p$ -adic algorithm to compute the canonical lift for  $p$  inert in  $K$   
Algorithms to compute  $H_K(X)$

# Computing the Hilbert Class Polynomial Using $p$ -adic Lifting

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## Outline

The class polynomial  $H_K$  of a quadratic imaginary field

Definitions and Notation

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$p$ -adic method for computing  $H_K$

The Canonical Lift

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A  $p$ -adic algorithm to compute the canonical lift for  $p$  inert in  $K$

The case of  $j = 0, 1728$

The Legendre form of an elliptic curve

The  $p$ -adic analytic map  $\rho_\alpha$

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Algorithms to compute  $H_K(X)$

$p$ -adic algorithm for  $p$  inert in  $K$

Comparison of Algorithms

## Complex Multiplication

- ▶  $K$ , a quadratic imaginary field of discriminant  $D < 0$ .
- ▶  $\mathcal{O}_K$ , the ring of integers of  $K$
- ▶  $E$ , an elliptic curve over  $\mathbb{C}$
- ▶  $\text{End}(E)$ , the ring of endomorphisms  $E \rightarrow E$
- ▶  $j(E)$ , the  $j$ -invariant of  $E$  (classifies  $E$  up to isomorphism)

### Definition

If  $\text{End}(E) \simeq \mathcal{O}_K$ , then  $E$  has *complex multiplication (CM)* by  $\mathcal{O}_K$ .

### Fact

$j(E)$  is an algebraic integer.

## The Hilbert class polynomial of $K$

**Goal:** Compute the *Hilbert class polynomial* of  $K$ , the polynomial  $H_K(X) \in \mathbb{Z}[X]$  whose roots are exactly the  $j$ -invariants of curves with CM by  $\mathcal{O}_K$ .

- ▶ **Cryptography:**  $H_K(X)$  can be used to construct elliptic curves with a prescribed number of points over a finite field.
- ▶ **Explicit Class Field Theory:**  $H_K(X)$  is a minimal polynomial of the *Hilbert class field* of  $K$ , the maximal abelian unramified extension of  $K$ .

## The Hilbert class field of $K$

- ▶  $I(\mathcal{O}_K)$ , the group of ideals of  $\mathcal{O}_K$
- ▶  $P(\mathcal{O}_K)$ , the subgroup of principal ideals.
- ▶  $Cl(\mathcal{O}_K) = I(\mathcal{O}_K)/P(\mathcal{O}_K)$  is the *class group of  $K$*
- ▶  $h_K = \#Cl(\mathcal{O}_K)$

### Definition

The *Hilbert class field*  $H_{\mathcal{O}_K}$  of  $K$  is the algebraic extension of  $K$  with  $\text{Gal}(H_{\mathcal{O}_K}/K) \simeq Cl(\mathcal{O}_K)$  via the *Artin map*.

### Fact

*There is a transitive and free action of  $Cl(\mathcal{O}_K)$  on the set of  $j$ -invariants of curves with CM by  $\mathcal{O}_K$ .*

## Example: $D = -23$

- ▶  $\mathcal{O}_K = \mathbb{Z}[\tau]$  where  $\tau$  is a root of  $X^2 - X + 6$
- ▶  $Cl(\mathcal{O}_K) = \langle \mathfrak{a} \rangle$  is order 3 where

$$\mathfrak{a} = (3, 1 + 2\tau)$$

and

$$\mathfrak{a}^3 = (1 + 2\tau)$$

The action of  $\mathfrak{a}$  gives

$$E_1 = \mathbb{C}/\mathfrak{a}^2 \longrightarrow E_2 = \mathbb{C}/\mathfrak{a} \longrightarrow E_3 = \mathbb{C}/\mathcal{O}_K \longrightarrow \mathbb{C}/\mathfrak{a}^{-1} \simeq E_1$$

The  $j$ -invariant of each curve is a root of  $H_{-23}(X)$ :

$$X^3 + 3491750X^2 - 5151296875X + 12771880859375$$

## How “big” is $H_K$ ?

- ▶ Degree of  $H_K(X)$  is  $h_K = \tilde{O}(\sqrt{|D|})$
- ▶ Coefficients of  $H_K(X)$  are integers  $\leq C = \tilde{O}(\sqrt{|D|})$  decimal digits.
- ▶ So  $\tilde{O}(|D|)$  to write down and store  $H_K(X)$

**General approach:** Compute roots  $j_i$  to  $C$  digits accuracy and expand

$$\prod_{i=1}^{h_K} (X - j_i)$$

Time to expand:

$$O(|D| \log |D|^{3+\epsilon})$$

## Complex analytic algorithm

1. View each ideal as a lattice  $\mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$

$$\mathcal{O}_K = \mathbb{Z} + \tau\mathbb{Z}, \quad \mathfrak{a} = 3\mathbb{Z} + (1 + 2\tau)\mathbb{Z}, \quad \mathfrak{a}^2 = 9\mathbb{Z} + (4 + 8\tau)\mathbb{Z}$$

2. Use the function  $j(z) : \mathcal{H} \rightarrow \mathbb{C}$  to compute  $j_i = j(\omega_1/\omega_2)$ :

$$j(\mathcal{O}_K) = -3493225.6999699\dots$$

$$j(\mathfrak{a}) = 737.84998496668\dots - 1764.018938612i$$

$$j(\mathfrak{a}^2) = 737.84998496668\dots + 1764.018938612i$$

to sufficient accuracy.



## Complex analytic method, con't.

### 3. Expand

$$\prod_{i=1}^{h_K} (X - j_i)$$

and recognize coefficients as integers:

$$X^3 + 3491750X^2 - 5151296875X + 12771880859375$$

## Complex analytic method, con't.

- ▶ Complex Analytic Method (Enge, 2006) has

$$O(|D| \log |D|^{5+\epsilon})$$

This is deterministic but...

- ▶ **Drawback:** Round-off error when multiplying/adding in  $\mathbb{C}$
- ▶ **Fact:** No round-off error when multiplying or adding  $p$ -adic integers...
- ▶ Couveignes-Henoqc (2002): Compute roots  $p$ -adically.

## $p$ -adic Method

1. Compute a single root  $\tilde{j}$  of  $H_K(X)$  to sufficient accuracy.
2. Compute the action of  $Cl(\mathcal{O}_K)$  on  $\tilde{j}$ :

$$\tilde{j} \mapsto \tilde{j}^a$$

to obtain the other roots.

3. Expand

$$\prod_{a \in Cl(\mathcal{O}_K)} (X - \tilde{j}^a)$$

and recognize coefficients as integers.

**Q:** How to compute  $\tilde{j}$ ?

## Complex multiplication in characteristic $p$

- ▶  $\mathfrak{p}$ , a prime above  $p$  in the field  $H_{\mathcal{O}_K}$
- ▶  $E$ , a curve with CM by  $\mathcal{O}_K$  and good reduction modulo  $\mathfrak{p}$
- ▶  $E_p$ , the reduction of  $E$

### Fact

*Reduction modulo  $\mathfrak{p}$  induces an embedding*

$$f : \text{End}(E) \simeq \mathcal{O}_K \hookrightarrow \text{End}(E_p)$$

## The canonical lift of $(E_p, f)$

- ▶  $E_p$ , a curve over  $\overline{\mathbb{F}}_p$
- ▶  $f : \mathcal{O}_K \hookrightarrow \text{End}(E_p)$ , an embedding

### Definition

The *canonical lift* of  $(E_p, f)$  is the curve  $\tilde{E}$  defined over  $H_{\mathcal{O}_K}$  such that

- ▶  $E_p \equiv \tilde{E} \pmod{\mathfrak{p}}$
- ▶  $\text{End}(\tilde{E}) \hookrightarrow \text{End}(E_p)$  is precisely  $f$ .

By the *Deuring Lifting Theorem*, the curve  $\tilde{E}$  exists and is unique up to isomorphism.

## Outline of $p$ -adic method (Couv.-Hen.)

- ▶  $\tilde{E}$ , the canonical lift of  $(E_p, f)$
  - ▶  $D_{\tilde{j}}$ , open  $p$ -adic disc radius one in  $\mathbb{C}_p$  centered at  $\tilde{j}$
1. Define a  **$p$ -adic analytic map** with  $\tilde{j}$  as a fixed point:  
For  $(\alpha) \in Cl(\mathcal{O}_K)$ ,

$$\rho_\alpha : D_{\tilde{j}} \longrightarrow D_{\tilde{j}}$$

depends on the **action of  $Cl(\mathcal{O}_K)$  on CM points.**

2. Use **Newton's method** to compute the unique root of

$$\rho_\alpha(X) - X$$

in  $D_{\tilde{j}}$  to sufficient accuracy.

## Two cases for $p$ -adic method

### Case 1: $p$ splits principally in $K$

- ▶ The smallest such prime  $p$  is at least  $|D|/4$
- ▶ Bröker (2006) Runtime:  $O(|D| \log |D|^{6+\epsilon})$  (under GRH)

### Case 2: $p$ inert in $K$

- ▶ Smallest such prime  $p$  is  $< O((\log |D|)^2)$  (under GRH)
- ▶ B. (2008) Runtime: ???

**Key:** Computing action of  $Cl(\mathcal{O}_K)$  modulo  $p$  and lifting to char 0

## Case 1: $p$ splits principally in $K$

- ▶  $H_{\mathcal{O}_K}$  embeds into  $\mathbb{Q}_p$
- ▶  $E_p$  is *ordinary* and defined over  $\mathbb{F}_p$
- ▶  $\text{End}(E_p) \simeq \mathcal{O}_K$
- ▶  $f$  is an *isomorphism*:  $f : \text{End}(E) \xrightarrow{\sim} \text{End}(E_p)$

There is a one-to-one correspondence: (up to  $\simeq$  of curves)

$$\begin{array}{ccc} \tilde{E}/\mathbb{Q}_p & \leftrightarrow & E_p/\mathbb{F}_p \\ \text{End}(\tilde{E}) \simeq \mathcal{O}_K & & \text{End}(E_p) \simeq \mathcal{O}_K \end{array}$$



## Case 2: $p$ is inert in $K$

- ▶  $H_{\mathcal{O}_K}$  embeds into  $F$ , the degree 2 unramified extn. of  $\mathbb{Q}_p$
- ▶  $E_p$  is *supersingular* and defined over  $\mathbb{F}_{p^2}$
- ▶  $\text{End}(E_p)$  is a *maximal order* in the quaternion algebra  $\mathcal{A}_{p,\infty}$
- ▶  $f$  is an *embedding*:  $f : \text{End}(E) \hookrightarrow \text{End}(E_p)$

There is a one-to-one correspondence:

$$\begin{array}{ccc} \tilde{E}/F & \leftrightarrow & E_p/\mathbb{F}_{p^2} \\ \text{End}(\tilde{E}) \simeq \mathcal{O}_K & & f : \mathcal{O}_K \hookrightarrow \text{End}(E_p) \end{array}$$

up to isomorphism of curves and up to conjugation of embeddings by automorphisms.

## Example: $p = 7$

- ▶ There is a unique class of supersingular curves  $E_p$  over  $\mathbb{F}_{p^2}$ :

$$y^2 = x^3 + x$$

with  $j(E_p) = 1728 = 6$ .

- ▶  $\mathcal{A}_{p,\infty} = \mathbb{Q}[i, j, k]$  with

$$i^2 = -1, j^2 = -7, ij = k, ij = -ji.$$

- ▶  $\text{End}(E_p) \simeq \mathbb{Z}[i, (i+k)/2, (1+j)/2]$
- ▶  $\text{Aut}(E_p) \simeq \{\pm 1, \pm i\}$

If  $p$  is inert in  $K$ ,  $E$  with CM by  $\mathcal{O}_K$  reduces mod  $p$  to  $\simeq E_p$  and there is an embedding  $\mathcal{O}_K \hookrightarrow \text{End}(E_p)$ .

## Example: $p = 7, D = -23$

- ▶  $\mathcal{O}_K = \mathbb{Z}[\tau]$  where  $\tau$  is a root of  $X^2 - X + 6$
- ▶  $\mathcal{O}_K$  embeds into  $\text{End}(E_p)$  in three ways

$$f_1 : \tau \mapsto 1/2 - 3i/2 + j/2 - k/2$$

$$f_2 : \tau \mapsto 1/2 - 3i/2 + j/2 + k/2$$

$$f_3 : \tau \mapsto 1/2 + 2i - j/2$$

up to conjugation by units of  $\text{End}(E_p)$ .

- ▶ Each pair  $(E_p, f_i)$  corresponds uniquely to root of  $H_{-23}(X)$ :

$$X^3 + 3491750X^2 - 5151296875X + 12771880859375$$

## Main difference in the supersingular case, I

In both cases,

- ▶ The map  $\rho_\alpha$  uses the action of  $Cl(\mathcal{O}_K)$  on *pairs*

$$(E_p, f) \mapsto (E_p^\alpha, f^\alpha)$$

- ▶ The subgroup  $E_p[\mathfrak{a}] := \bigcap_{\alpha \in \mathfrak{a}} \ker(\alpha)$  defines an *isogeny*

$$\varphi : E_p \longrightarrow E_p/E_p[\mathfrak{a}].$$

- ▶  $f^\alpha(\tau)$  is  $\varphi \cdot \tau \cdot \varphi^{-1}$

## Main difference in the supersingular case, I

**Difficulty:** Unwieldy to explicitly compute  $f \mapsto f^\alpha$

**Solution:** Compute the action in the quaternion algebra  $\mathcal{A}_{p,\infty}$

- ▶ Determine right-isomorphism of left ideal classes of maximal order  $R \simeq \text{End}(E_p)$ :

**Eg:**  $p = 7$

$$R\alpha \simeq Rx$$

$$f^\alpha(\tau) = x \cdot \tau \cdot x^{-1}$$

- ▶ "Translate" back to  $\text{End}(E_p)$  using basis of small degrees endomorphisms

## Main difference in the supersingular case, II

- ▶ The curves with  $j = 0, 1728$  may be supersingular.
- ▶ **Difficulty:**  $\tilde{j}$  not sufficient to determine canonical lift of  $(E_p, f)$  due to extra automorphisms.
- ▶ **Solution:** Use *Legendre form* of an elliptic curve:

$$y^2 = x(x - 1)(x - \lambda).$$

## The issue for $j = 0$ , 1728

- ▶  $\tilde{j}$ , the  $j$ -invariant of the canonical lift of  $(E_p, f)$
- ▶  $\tilde{E}_1$ , a canonical lift of  $(E_p, f)$
- ▶  $\tilde{E}_2$ , another curve with  $j$ -invariant  $\tilde{j}$  reducing to  $E_p$

$$\begin{array}{ccc}
 \tilde{E}_1 & \xrightarrow{h} & \tilde{E}_2 \\
 \downarrow & & \downarrow \\
 (E_p, f) & \xrightarrow{\bar{h}} & (E_p, \bar{h}f\bar{h}^{-1})
 \end{array}$$

**Note:**  $\bar{h}$  is an automorphism of  $E_p$ .

## $j(E_p) = 0$ or $1728$

- ▶ The automorphism  $\bar{h}$  may be non-trivial.
- ▶ Then  $\bar{h}f\bar{h}^{-1}$  and  $f$  are **not** the same embeddings.
- ▶  $\tilde{E}_2$  is **not** a canonical lift of  $(E_p, f)$
- ▶ **Upshot:** The  $j$ -invariant  $\tilde{j}$  not sufficient to determine a canonical lift of  $(E_p, f)$ .

**Note:** If  $p \not\equiv 1 \pmod{12}$ , then curves over  $\mathbb{F}_{p^2}$  with  $j = 0$  and/or  $1728$  are supersingular.



## Example: $p = 7$ , $D = -23$

- ▶  $E_p : y^2 = x^3 + x$
- ▶  $f : \mathcal{O}_K \hookrightarrow \text{End}(E_p)$

$$\begin{array}{ccc} \tilde{E}_1 : y^2 = x^3 + Ax + B & \xrightarrow{h} & \tilde{E}_2 : y^2 = x^3 + Ax - B \\ (x, y) & \mapsto & (-x, iy) \end{array}$$

- ▶ Exactly one of  $\tilde{E}_1, \tilde{E}_2$  is a canonical lift of  $(E_p, f)$ .
- ▶ Determining which one is key to computing action, i.e.  $\rho_\alpha$

**Solution:** Work with an equation for  $E$  which removes the ambiguity of extra automorphisms.

## The Legendre form of an elliptic curve

### Definition

Let  $\mathbb{K}$  be any field not of characteristic two. For  $\lambda \in \mathbb{K}$ , with  $\lambda \neq 0, 1$ , the curve

$$L : y^2 = x(x - 1)(x - \lambda)$$

is an elliptic curve in *Legendre form*.

- ▶  $j(L) = 2^8 \frac{(\lambda^2 - \lambda + 1)^3}{\lambda^2(\lambda - 1)^2}$
- ▶ The two-torsion of  $L$  is  $L[2] = \{(0, 0), (1, 0), (\lambda, 0), P_\infty\}$

## The Legendre form and two-torsion

- ▶  $E$ , any curve over  $\mathbb{K}$
- ▶  $(P, Q)$ , an ordered basis of  $E[2]$

Let

$$\lambda = \frac{x(P+Q) - x(P)}{x(Q) - x(P)}.$$

There is a unique isomorphism (up to  $\pm 1$ )

$$E \longrightarrow L : y^2 = x(x-1)(x-\lambda)$$

sending  $(P, Q)$  to  $(0, 0), (1, 0)$ .

## The modular function $\lambda$

- ▶  $E$ , any curve over  $\mathbb{K}$
- ▶  $(P, Q)$ , an ordered basis of  $E[2]$

The *moduli space*  $Y(2)$  consists of equivalence classes

$$[E, (P, Q)]$$

The modular function  $\lambda$  is

$$\begin{aligned} \lambda : Y(2) &\longrightarrow \mathbb{K} \\ [E, (P, Q)] &\longmapsto \lambda \end{aligned}$$

where

$$\lambda = \frac{x(P+Q) - x(P)}{x(Q) - x(P)}.$$

## The Legendre form

The map  $\lambda \mapsto j$  is degree six.

- ▶  $N = \#$  distinct curves in Legendre form isomorphic to  $E$
- ▶  $A = \#\text{Aut}(E)/\{\pm 1\}$

$j(E)$	$N$	$A$	
$\neq 0, 1728$	6	1	
1728	3	2	char $\mathbb{K} \neq 3$
0	2	3	char $\mathbb{K} \neq 3$
0	1	6	char $\mathbb{K} = 3$

For each equivalence class of embeddings  $\mathcal{O}_K \hookrightarrow \text{End}(E_p)$ , there are  $N \cdot A = 6$  distinct pairs  $(L_p, f)$  with  $L_p$  isomorphic to  $E$  and  $f$  an embedding into  $\text{End}(L_p)$ .

## Upshot:

There is a one-to-one correspondence:

$$\begin{array}{ccc} \tilde{L}/F & \leftrightarrow & L_p/\mathbb{F}_{p^2} \\ \text{End}(\tilde{L}) \simeq \mathcal{O}_K & & f : \mathcal{O}_K \hookrightarrow \text{End}(L_p) \end{array}$$

### Definition

The *canonical lift* of  $(L_p, f)$  is the curve  $\tilde{L}$  reducing to  $L_p$  with induced embedding  $f : \text{End}(\tilde{L}) \hookrightarrow \text{End}(L_p)$ .

**Note:** The curve  $\tilde{L}$  is *unique*.

**Idea:** Compute canonical lift  $\tilde{\lambda}$  of  $(L_p, f)$ , then compute  $\tilde{j}$ .

## Action of $Cl_2(\mathcal{O}_K)$ on CM curves in Legendre form

- ▶  $I_2(\mathcal{O}_K)$ , the group of ideals of  $\mathcal{O}_K$  prime to  $(2)$ .
- ▶  $P_{2,1}(\mathcal{O}_K)$ , principal ideals  $(\alpha)$  with  $\alpha \equiv 1 \pmod{2\mathcal{O}_K}$
- ▶  $Cl_2(\mathcal{O}_K) = I_2(\mathcal{O}_K)/P_{2,1}(\mathcal{O}_K)$

### Definition

The *ray class field of  $K$  of conductor 2* is the unique abelian extension  $R$  with  $\text{Gal}(R/K) \simeq Cl_2(\mathcal{O}_K)$  via the Artin map.

## The $\lambda$ -invariant of a curve with CM by $\mathcal{O}_K$

### Fact

*The  $\lambda$ -invariant of a curve with CM by  $\mathcal{O}_K$  generates the ray class field  $R$  of conductor 2 over  $K$ :*

$$R = K(\lambda).$$

**Key:** Use action of  $Cl_2(\mathcal{O}_K)$  on the set of curves in Legendre form with CM by  $\mathcal{O}_K$ .



## Action of $Cl_2(\mathcal{O}_K)$ on CM curves in Legendre form

- ▶  $\mathfrak{a}$ , an ideal of  $\mathcal{O}_K$  prime to  $(2)$
- ▶  $L$ , curve over  $F$  with  $\text{End}(L) \simeq \mathcal{O}_K$

The subgroup

$$L[\mathfrak{a}] := \bigcap_{\alpha \in \mathfrak{a}} \ker(\alpha).$$

defines an *isogeny*

$$L \longrightarrow E = L/L[\mathfrak{a}].$$

## Action of $Cl_2(\mathcal{O}_K)$ on CM curves in Legendre form, con't

Define  $L^\alpha$  to be the curve with  $\lambda = \lambda([E, (P, Q)])$ .

$$\begin{array}{ccccc} L & \xrightarrow{\alpha} & E = L/L[\mathfrak{a}] & \xrightarrow{\sim} & L^\alpha \\ ((0, 0), (1, 0)) & \mapsto & (P, Q) & \mapsto & ((0, 0), (1, 0)) \end{array}$$

**Note:** If  $\mathfrak{a} = (\alpha)$  is principal with  $\alpha \equiv 1 \pmod{2}$ , then  $\alpha$  is an *endomorphism of  $L$  which fixes the two-torsion*. Thus

$$L^\alpha = L.$$

## Action of $Cl_2(\mathcal{O}_K)$ modulo $p$

Let  $\tilde{L}$  be the canonical lift of  $(L_p, f)$ .

$$\begin{array}{ccccc} \tilde{L} & \xrightarrow{\alpha} & E = \tilde{L}/\tilde{L}[\alpha] & \xrightarrow{\sim} & (\tilde{L})^\alpha \\ \downarrow & & \downarrow & & \downarrow \\ (L_p, f) & \xrightarrow{\alpha} & E_p = L_p/L_p[f(\alpha)] & \xrightarrow{\sim} & (L_p^\alpha, f^\alpha) \end{array}$$

- ▶ The kernel of the isogeny of  $L_p$  is determined by  $f$

$$L_p[f(\alpha)] := \bigcap_{\alpha \in \mathfrak{a}} \ker f(\alpha).$$

- ▶  $(\tilde{L})^\alpha$  is the canonical lift of  $(L_p^\alpha, f^\alpha)$ .

## Lifting the action to non-CM curves

For any lift  $L/F$  of  $L_p$ , the kernel  $L_p[f(\alpha)]$  lifts uniquely

$$\begin{array}{ccc} L & \xleftarrow{\supset} & L[\alpha] \\ \uparrow & & \uparrow \\ L_p & \xleftarrow{\supset} & L_p[f(\alpha)] \end{array}$$

This determines an isogeny

$$\begin{array}{ccccc} L & \xrightarrow{\alpha} & E = L/L[\alpha] & \xrightarrow{\sim} & L^\alpha \\ ((0,0), (1,0)) & \mapsto & (P, Q) & \mapsto & ((0,0), (1,0)) \end{array}$$

and we define

$$\rho_\alpha(\lambda(L)) := \lambda(L^\alpha).$$

## The map $\rho_\alpha$

If  $\alpha = (\alpha)$  is in  $P_{2,1}(\mathcal{O}_K)$  and  $\tilde{L}$  is a canonical lift of  $(L_p, f)$  then  $\tilde{L}[\alpha]$  is the kernel of an *endomorphism* of  $\tilde{L}$  fixing  $\tilde{L}[2]$ :

$$\begin{array}{ccc} \tilde{L} & \xrightarrow{\alpha} & \tilde{L}/\tilde{L}[\alpha] \simeq \tilde{L} \\ \downarrow & & \downarrow \\ L_p & \xrightarrow{\alpha} & L_p/L_p[\alpha] \simeq L_p \end{array}$$

So

$$\rho_\alpha(\tilde{\lambda}) = \tilde{\lambda}.$$

## The map $\rho_\alpha$

### Upshot

Lifting the action of  $Cl_2(\mathcal{O}_K)$  in characteristic  $p$  defines a map

$$\rho_\alpha : D_{\tilde{\lambda}} \longrightarrow D_{\tilde{\lambda}}$$

where

- ▶  $\alpha \in \mathcal{O}_K$  with  $\alpha \equiv 1 \pmod{2}$  and norm prime to  $p$
- ▶  $D_{\tilde{\lambda}}$ , open  $p$ -adic disc radius one around  $\tilde{\lambda}$  ( $D_{\tilde{\lambda}} = D_{\lambda p}$ )
- ▶  $\tilde{\lambda}$  is a fixed point

## The map $\rho_\alpha$

### Theorem

1. *The map  $\rho_\alpha$  is  $p$ -adic analytic in the disc  $D_{\tilde{\lambda}}$ . That is, there exist  $p$ -adic integers  $a_i$  such that*

$$\rho_\alpha(\lambda) - \tilde{\lambda} = \sum_{i \geq 1} a_i (\lambda - \tilde{\lambda})^i,$$

for all  $\lambda \in D_{\tilde{\lambda}}$ .

2. *The derivative of  $\rho_\alpha$  at the point  $\lambda = \tilde{\lambda}$  is  $\alpha/\bar{\alpha}$ .*

So we can use Newton's method...

## Newton's method

- ▶ Assume  $\alpha/\bar{\alpha} - 1$  is a  $p$ -adic unit
- ▶ Choose  $\lambda_0 \in D_{\tilde{\lambda}}$ , a one digit approx. to  $\tilde{\lambda}$

Let

$$\lambda_{k+1} = \lambda_k - \frac{\rho_\alpha(\lambda_k) - \lambda_k}{\alpha/\bar{\alpha} - 1}.$$

The sequence  $\{\lambda_k\}$  converges quadratically to  $\tilde{\lambda}$ .

**Note:**  $\lambda_{k+1}$  is a  $2^{k+1}$  digit approximation to  $\tilde{\lambda}$ .



## Algorithm to compute $\tilde{\lambda}$

### Input:

- ▶  $L_p$ , a supersingular curve defined over  $\mathbb{F}_{p^2}$
- ▶  $f : \mathcal{O}_K \hookrightarrow \text{End}(L_p)$ , an embedding
- ▶  $r$  such that  $2^r$  is the desired accuracy for  $\tilde{\lambda}$

**Output:** the  $2^r$  digit approximation to  $\tilde{\lambda}$

The  $j$ -invariant of the canonical lift of  $(L_p, f)$  is

$$\tilde{j} = 2^8 \frac{(\tilde{\lambda}^2 - \tilde{\lambda} + 1)^3}{\tilde{\lambda}^2(\tilde{\lambda} - 1)^2}.$$

to  $2^r$  digits accuracy.

## Eg $p = 7$ , $D = -23$

### Input:

- ▶  $K = \mathbb{Q}(\sqrt{D})$
- ▶  $\mathcal{O}_K = \mathbb{Z}[\tau]$  where  $\tau$  is a root of  $X^2 - X + 6$
- ▶  $L_p$  is the curve  $y^2 = x(x-1)(x-2)$  with  $\lambda = 2$
- ▶  $f : \mathcal{O}_K \hookrightarrow \text{End}(L_p)$  is  $f(\tau) = 1/2 - 3i/2 + j/2 - k/2$

**Output:** the canonical lift  $\tilde{\lambda}$  of  $(L_p, f)$  to 8  $p$ -adic digits accuracy.

## Step 0: Choosing $\alpha$

- ▶ Choose  $\alpha$  in  $\mathcal{O}_K$  with
  - ▶  $\alpha \equiv 1 \pmod{2\mathcal{O}_K}$
  - ▶  $\alpha/\bar{\alpha} - 1$  a  $p$ -adic unit
  - ▶ Norm of  $\alpha$  prime to  $p$
- ▶ Factor  $(\alpha)$  into prime ideals.

For  $D = -23$ , choose  $\alpha = (1 + 2\tau)$  where

$$(\alpha) = \mathfrak{a}^3 \text{ for } \mathfrak{a} = (3, 1 + 2\tau).$$

## Step 1: Action of $(\alpha)$ in characteristic $p$

Compute the action of  $(\alpha)$  factor by factor in characteristic  $p$ :

$$\begin{array}{ccccc} L_p & \xrightarrow{\alpha} & L_p^{\alpha} & \xrightarrow{\alpha} & L_p^{\alpha^2} & \xrightarrow{\alpha} & L_p^{(\alpha)} = L_p \\ f & & f^{\alpha} & & f^{\alpha^2} & & f^{\alpha} = f \end{array}$$

We must compute the action on the embeddings as well.  
This gives a sequence of subgroups

$$L_p[f(\alpha)], \quad L_p^{\alpha}[f^{\alpha}(\alpha)], \quad L_p^{\alpha^2}[f^{\alpha^2}(\alpha)]$$

which we lift to characteristic zero to compute  $\rho_\alpha$ .

## Eg $p = 7$ , $D = -23$ : Action in characteristic $p$

Compute the action of  $\alpha$  factor by factor:

$$\begin{array}{ccccc} L_p & \xrightarrow{\alpha} & L_p^\alpha & \xrightarrow{\alpha} & L_p^{\alpha^2} & \xrightarrow{\alpha} & L_p^{(\alpha)} = L_p \\ f & & f^\alpha & & f^{\alpha^2} & & f^\alpha = f \end{array}$$

- ▶  $L_p^\alpha$  has  $\lambda = 6$ ,  $f^\alpha(\tau) = 1/2 - 3i/2 + j/2 + k/2$
- ▶  $L_p^{\alpha^2}$  has  $\lambda = 4$ ,  $f^{\alpha^2}(\tau) = 1/2 + 2i - j/2$

The kernels are given by the 3-torsion points with

$$x = 5a + 5, \quad x = 5a + 3, \quad x = a + 5.$$

where  $a^2 = -2$  in  $\mathbb{F}_{p^2}$ .

## Step 2: Lifting the action to curves over $F$

- ▶ Given  $\lambda_k$ , a  $2^k$  digit approximation to  $\tilde{\lambda}$ , let

$$L_k : y^2 = x(x - 1)(x - \lambda_k).$$

- ▶ Lift the action of  $\alpha$

$$L_k \xrightarrow{\alpha} L_k^\alpha \xrightarrow{\alpha} L_k^{\alpha^2} \xrightarrow{\alpha} L_k^{(\alpha)}$$

to get  $\rho_\alpha(\lambda_k) = \lambda(L_k^{(\alpha)})$ .

- ▶ Compute

$$\lambda_{k+1} = \lambda_k - \frac{\rho_\alpha(\lambda_k) - \lambda_k}{\alpha/\bar{\alpha} - 1}.$$

This is  $\tilde{\lambda}$  to  $2^{k+1}$   $p$ -adic digits accuracy.

## Eg $p = 7$ , $D = -23$ : Lifting action to compute $\rho_\alpha$

- ▶ Choose  $\lambda_0 = 9$  in  $D_{\tilde{\lambda}}$  and let  $L_0$  be the curve

$$y^2 = x(x - 1)(x - 9).$$

- ▶ Lift the kernels one-by-one to get

$$L_0 \xrightarrow{\alpha} L_0^\alpha \xrightarrow{\alpha} L_0^{\alpha^2} \xrightarrow{\alpha} L_0^{(\alpha)}$$

- ▶ Compute

$$\rho_\alpha(\lambda_0) = \lambda(L_0^{(\alpha)}) = -19 + O(7^2).$$

- ▶ Use Newton's Method to get

$$\lambda_1 = -14\tilde{a} - 5 + O(7^2)$$

## Eg $p = 7$ , $D = -23$ : Newton's method

Let  $F = \mathbb{Q}_p(\tilde{a})$  where  $\tilde{a}$  is the lift of  $a$ .

$k$	$\lambda_k$	$\rho_\alpha(\lambda_k)$
0	$9 + O(7^2)$	$-19 + O(7^2)$
1	$-14\tilde{a} - 5 + O(7^2)$	$-700\tilde{a} - 250 + O(7^4)$
2	$-308\tilde{a} + 975 + O(7^4)$	$-2759057\tilde{a} - 2169529 + O(7^8)$

We get 8 digit  $p$ -adic approximations to  $\tilde{\lambda}$  and  $\tilde{j}$  resp.:

$$\lambda_3 = -1589770\tilde{a} + 2769328 + O(7^8)$$

$$j(\lambda_3) = -1520666\tilde{a} + 1286263 + O(7^8)$$



## Algorithm to compute $H_K(X)$

1. Choose smallest inert prime  $p$  in  $K$ .
2. Choose a curve  $L_p$  such that  $\mathcal{O}_K \hookrightarrow \text{End}(L_p)$  via some  $f$ .
3. Compute the  $\lambda$ -invariant  $\tilde{\lambda}$  of the canonical lift of  $(L_p, f)$  to sufficient accuracy using Algorithm 1.
4. For each  $\alpha \in \text{Cl}(\mathcal{O}_K)$ , compute the action on  $\tilde{\lambda}$  using  $\rho_\alpha$ :

$$\tilde{\lambda} \mapsto \tilde{\lambda}^\alpha$$

5. Use  $j$  function to obtain the roots  $\tilde{j}^\alpha$ .
6. Expand

$$\prod_{\alpha \in \text{Cl}(\mathcal{O}_K)} (X - \tilde{j}^\alpha)$$

and recognize coefficients as integers.

## Algorithm to compute $H_K(X)$

Key computational steps to analyze/improve:

- ▶ Matching  $\text{End}(E_p)$  with maximal order  $R$  (Cervino,  $O(p^{5/2})$ )
- ▶ Computing an embedding  $\mathcal{O}_K \hookrightarrow R$  (norm  $O(|D|)$ )
- ▶ Computing  $f \mapsto f^\alpha$  (ideal class isomorphisms in  $R$ )
- ▶ Given  $f(\alpha)$ , computing kernel polynomial (naive search)
- ▶ Hensel lift of kernel polynomial to  $\mathbb{Q}_{p^2}[X]$  to precision  $2^k$

# An algorithm to compute $H_K(X) \bmod p$ for $p$ inert in $K$

- ▶ The action

$$f \mapsto f^{\alpha}$$

moves between different *maximal orders* of  $\mathcal{A}_{p,\infty}$ .

- ▶ Match each maximal order of  $\mathcal{A}_{p,\infty}$  with a class of supersingular elliptic curves over  $\mathbb{F}_{p^2}$ .
- ▶ # embeddings into an order = multiplicity of the  $j$ -invariant
- ▶ This gives

$$H_D(X) \bmod p.$$

Used in the Chinese Remainder Theorem algorithm to compute  $H_D(X)$

## Current State of Methods

- ▶ Complex Analytic Method (Enge, 2006)
- ▶ Ordinary  $p$ -adic Method (Bröker, 2006)
- ▶ Inert  $p$ -adic Method (B. 2008, Riboulet, 2004,  $p = 2$ )
- ▶ Chinese Remainder Theorem Method (BBEL, 2008)
- ▶ Improved CRT Method (Sutherland, 2009)

## Comparison of Methods (under GRH)

- ▶ CAM (assuming no round-off error)

$$O(|D| \log |D|^3 \log \log |D|^3)$$

- ▶ Ordinary  $p$ -adic Method (2008)

$$O(|D| \log |D|^{6+\epsilon})$$

- ▶ Inert  $p$ -adic Method (2008)

$$O(|D| \log |D|^{??})$$

- ▶ Chinese Remainder Theorem Method (2008)

$$O(|D| \log |D|^{7+\epsilon})$$

- ▶ Improved CRT Method (2009)

$$O(|D| \log |D|^5 \log \log |D|^4)$$

The class polynomial  $H_K$  of a quadratic imaginary field

$p$ -adic method for computing  $H_K$

A  $p$ -adic algorithm to compute the canonical lift for  $p$  inert in  $K$

Algorithms to compute  $H_K(X)$

$p$ -adic algorithm for  $p$  inert in  $K$

Comparison of Algorithms

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